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ABSTRACT

The handbook contains State Education Department rules and regulations that govern speech-language pathology and audiology in New York State. The handbook also describes licensure and first registration as a licensed speech-language pathologist or audiologist. The introduction discusses professional regulation in New York State while the second section gives licensure requirements and application instructions. The following data are provided: (1) licensure forms for both speech-language pathology and audiology; (2) the texts of relevant sections of Education Law (Articles 130 and Article 159) and the Commissioner's Regulations (Part 59 and Part 75); (3) texts of the rules of the Board of Regents concerning disciplinary proceedings in the professions, the Committee on the Professions, determination of good moral character, and unprofessional conduct; (4) guidelines for evaluating an applicant's experience and listings of registered professional preparation programs in New York; and (5) a listing of graduate programs in speech-language pathology or audiology accredited by the American Speech-Language-Hearing Association. (DB)

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# SPEECH-LANGUAGE-PATHOLOGY AND AUDIOLOGY HANDBOOK

The University of the State of New York
THE STATE EDUCATION DEPARTMENT
Office of the Professions
Division of Professional Licensing Services
Cultural Education Center
Albany, New York 12230

EC220507

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THE STATE EDUCATION DEPARTMENT
Division of Professional Licensing Services
Cultural Education Center
Albany, New York 12230

# SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY

# HANDBOOK

November 1988

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# PREFACE

This handbook has been developed to serve as a helpful reference guide. It contains laws, rules and regulations of the State Education Department that govern speech-language pathology and audiology in the State of New York. The handbook also describes licensure requirements and includes complete application forms and instructions for obtaining licensure and first registration as a licensed speech-language pathologist or audiologist.

The Division of Professional Licensing Services has installed a new Regional service for receiving toll-free calls from persons calling from the following states: New York, New Hampshire, Vermont, Massachusetts, Rhode Island, Connecticut, New Jersey, Pennsylvania, Michigan, Ohio, Maryland, Delaware, District of Columbia and Virginia. Callers from this wide area telephone service (WATS) zone are welcome to use **1-800-342-3729** for contacting the division. Also, several non-WATS line telephone numbers are available for public inquiries.

| | Telephone Numbers |
|---|---|
| | **518 Area Code** |
| General Inquiries | **474-3817** |
| Licensure Parchments (License & Records Unit) | **474-3824** |
| Verification of Licensure/Registration (Customer Service Unit) (General Verifications – no fee required) | **474-3817** |
| Certification of Licensure (Customer Service Unit) (A detailed statement – $20.00 fee required) | **474-3817** |
| Scope of Practice Issues (Speech-Language Pathology/ Audiology Board) | **486-6059** |

When writing to the State Education Department, address letters to the attention of the appropriate unit, for instance:

Attention: Speech-Language Pathology and Audiology
New York State Education Department
Division of Professional Licensing Services
Cultural Education Center
Albany, NY 12230

**Please Note: The applicant is responsible for reading this Handbook prior to submitting an application for licensure.**

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# PROFESSIONAL REGULATION IN NEW YORK STATE

State regulation in 31 fields of professional practice, including issuance of licenses and enforcement of rules of professional conduct, is vested in the Board of Regents in New York. Medicine was the first profession regulated by the Board of Regents with the establishment of licensure of physicians in 1892. The professions of Speech-Language Pathology and Audiology have been credentialed by the Regents since 1976.

Practice of a profession is a public trust, earned through educational preparation, experience, the passing of rigorous examinations, and commitment on the part of the practitioner to public service. The professional carries out that trust in accordance with ethical standards developed through years – often decades and even centuries – of the best professional traditions, and in accordance with state law and regulations. Professional practitioners are urged to be conscious always of the very special obligations of public service and of ethical conduct that the privilege of licensure creates.

The New York system of professional regulation is unique in that it places a citizen body, the Board of Regents, which is responsible for education at all levels, in charge of the education, licensing, and discipline of the professions. It is also unique in providing for boards to advise the Commissioner and the Regents on all matters relating to licensure and discipline. The boards, each aided by an Executive Secretary appointed by the Regents, provide communication links to the professions and the public. The boards make recommendations on policy and on disciplinary issues and provide advice to the Department and the Board of Regents.

Under policy established by the Board of Regents the Title VIII professions are administered through the following units of the Office of the Professions.

## Professional Education

The Department monitors programs of professional education according to standards for registration (accreditation) established by the Board of Regents. Specifically, through Board Executive Secretaries and consultants, the Department evaluates proposed new programs as well as currently registered programs in some 300 institutions that prepare individuals for the practice of one or more professions. Information concerning registered programs, including licensure-qualifying programs in the professions, may be obtained by contacting the appropriate Board office.

## Professional Boards

Twenty state boards for the professions are appointed by the Board of Regents to advise the Department and the Regents on matters relating to professional licensure, practice, and conduct. The law provides that these boards be comprised of a number of licensed professionals and one or more "public members," consumers of the services provided by the profession concerned. Some 300 persons serve on the twenty boards. The boards conduct disciplinary hearings at the request of the Office of Professional Discipline. Several boards conduct practical or clinical examinations for licensure.

## Minority Access to the Licensed Professions

The Regents are committed to the premise that equal educational opportunity in programs that lead to licensure is a right of all who live, work, or study in New York State. Despite progress in achieving greater access for minorities and disadvantaged populations, there still exists a severe underrepresentation of minorities in the licensed professions. To correct this problem, the Regents and the State Education Department endorse a coordinated and comprehensive effort involving the sustained efforts of the educational system, professional boards, professional associations and societies, government, industry, parent groups, and individual professionals. Any persons or organizations interested in learning more about or participating in state and local projects may contact the Executive Secretary for the State Board of the involved profession.

## Professional Licensing Services

The Division of Professional Licensing Services of the State Education Department issues more than 50 different authorizations to practice in the 31 professions licensed or otherwise credentialed by the Department and Board of Regents. The Division has three major program sections: the Operations Office, the Comparative Education Office and the Office of Test Administration. In cooperation with the Executive Secretary of the State Board in each licensed profession, the Division's Operations Office is responsible for administering licensing rules and regulations, assuring that the standards set by law are met prior to licensure and for administering the triennial registration system for licensed professionals practicing

in the State. In order to practice or use a professional title in New York, a professional must be registered with the Department as well as licensed. The registration period is specific for each profession and expires for all members of that profession on a common date indicted on each practitioner's registration certificate. It is the responsibility of any licensed professional practicing in New York to maintain current registration and to notify the Department directly of any change of address or of name.

The Division's Office of Comparative Education evaluates all applications involving foreign or non-traditional education. Staff in this office have specialized training with educational systems and academic programs established throughout the world and provide special assistance to applicants presenting credentials earned in other countries or unaccredited programs for purposes of licensure in New York State.

The Office of Test Administration schedules and administers most professional licensing examinations conducted by the State Education Department. It is responsible for locating and securing acceptable examination facilities and staff regularly contract with vendors, suppliers and other agencies for these services. The Office of Test Administration is also responsible for scheduling candidate reviews and appeals of previous licensing examination results.

**Professional Discipline**

A license permitting an individual to practice in New York State is in effect for the lifetime of the holder unless use of the license is affected by a finding of professional misconduct. Professional misconduct is defined in Section 6509, Education Law, and in Part 29, Rules of the Board of Regents. It is the responsibility of each professional to be aware of the laws and regulations covering his or her profession. Copies of statute and rules are included in this handbook.

The Office of Professional Discipline of the State Education Department enforces standards of professional conduct in all professions, except that initial enforcement action in medicine is vested in the Office of Professional Medical Conduct, Department of Health. Any person who suspects or has knowledge of professional misconduct should report the information to the appropriate office. (Physicians are required by law to make such reports; failing to do so is, in itself, misconduct.) When either office discovers a possible violation of standards, it starts professional misconduct proceedings. Final disciplinary actions in all professions are taken by the Board of Regents. If the Regents decide that a licensed person has acted unprofessionally, they may suspend or revoke the authorization to practice. The Regents may also impose fines and other appropriate penalties.

Professional misconduct includes practicing fraudulently, negligently, or incompetently; practicing while being impaired by alcohol, drugs, or mental disability; being convicted of a crime; and similar serious matters. Some examples of misconduct are: offering or receiving a fee to or from a third party, fee splitting, behaving in a way that indicates moral unfitness, filing false reports, practicing beyond the scope permitted by law, performing services unauthorized by a patient or client, physically abusing a patient, not keeping proper records, ordering excessive tests, or neglecting a patient in need of immediate care.

Fee disagreements do not represent misconduct, but double billing or other fraudulent billing or charging for unnecessary services, tests, or procedures does constitute misconduct.

Telephone calls or letters reporting professional misconduct will be treated confidentially.

To report misconduct for professions other than medicine, write the New York State Education Department, Office of Professional Discipline, 622 Third Avenue, New York, N.Y. 10017. Telephone: 212-557-2100.

Or call the **Professional Misconduct Hotline:** 1-800-442-8106. The call is toll-free from anywhere in New York State. (On holidays or during nonbusiness hours, a recorded message may be left and the call will be returned.) Or call or write the nearest regional office:

**Albany:** Office of Professional Discipline, 1580 Columbia Turnpike, Bldg. 3, Castleton NY 12033. Telephone: 518-479-7070.

**Buffalo:** Office of Professional Discipline, 2 Pleasant Avenue West, Lancaster, NY 14086. Telephone: 716-681-2860.

**Harlem:** Office of Professional Discipline, 163 West 125th Street, Room 819, New York, NY 10027. Telephone: 212-870-8705.

**Nassau:** Office of Professional Discipline, 350 Daniels Street, Lindenhurst, NY 11757. Telephone: 516-226-2109.

**New York City:** Office of Professional Discipline, 622 Third Avenue, 37th Floor, New York, NY 10017. Telephone: 212-557-2109

**Rochester:** Office of Professional Discipline, P.O. Box 397 2000 Lehigh Station Rd., Henrietta, NY 14467. Telephone: 716-334-5184.

**Syracuse:** Office of Professional Discipline, Tecumseh School-Room 11, Nottingham & Waring Road, Jamesville, NY 13078. Telephone: 315-445-2111.

**White Plains:** Office of Professional Discipline, 55 Church Street, White Plains, NY 10601. Telephone: 914-761-8067.

**Professional Assistance Program**

The State Education Department has initiated a program for licensed professionals who abuse alcohol or other drugs. Professionals who have not harmed clients or patients may voluntarily surrender their license while seeking treatment rather than face charges of professional misconduct. The Committee for Professional Assistance, composed primarily of professionals who treat alcoholics and drug addicts, advises the Department on who should be accepted into the program, the acceptability of the treatment program, and appropriate monitoring of program participants to assure public safety. All applications are confidential. Any person interested in additional information may contact the Coordinator of Professional Practice, New York State Education Department, Professional Assistance Program, Cultural Education Center, Albany, New York 12230, (518) 473-6809.

# LICENSURE REQUIREMENTS FOR SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY

## I. GENERAL REQUIREMENTS

1. Education – (See page 4).
2. Examination – (See page 4).
3. Experience – (See page 4).
4. Good Moral Character – Candidates for licensure must be of good moral character.
5. Age – Candidates for licensure must be at least twenty-one (21) years old.
6. Application – Candidates for licensure must file with the Department completed application forms (page 5).
7. Fees – (See page 7).

**NOTE: Until all the necessary paperwork and *fees* have been received the Division of Professional Licensing Services will not forward the application to the Board office for review.**

## II. EDUCATION REQUIREMENTS

The applicant must have obtained a master's degree in Speech-Language Pathology *or* Audiology from an approved program or its equivalent in accordance with the Commissioner's Regulations.

To be considered to hold the equivalent of a master's degree from an approved program, the individual must possess a master's degree and post-secondary education including the following:

- a practicum of not less than 300 clock hours in the major area (Speech-Language Pathology or Audiology), of which 150 clock hours must be at the graduate level.
- 60 semester hours (30 of which must be at the graduate level) including:
  - 12 semester hours in basic communications processes
  - 24 semester hours in the major area (Speech-Language Pathology or Audiology)
  - 6 semester hours in the non-major area (Audiology or Speech-Language Pathology)
  - 18 semester hours in the above or related areas

## III. EXAMINATION REQUIREMENTS

The applicant must obtain a passing score (at least 600) on the National Teacher Examination in Speech-Language Pathology *or* Audiology.

## IV. EXPERIENCE REQUIREMENTS

The applicant must have completed a minimum of nine months of supervised experience in speech-language pathology or audiology satisfactory to the Board. The experience must be obtained *after all requirements* for the master's degree are satisfied.

1. **Nature of Experience**. The professional experience requirements for licensure in speech-language pathology and audiology are defined as including direct clinical work with patients, consultations, record keeping, and any other duties relevant to a valid clinical program. It is expected, however, that at least two-thirds of the clinical experience will be in direct clinical contact with persons who have communication disorders. Time spent in supervision of students, academic teaching, research, or administrative activities that do not deal directly with patient management will not be counted as professional experience in this context.
2. **Scope of Experience**. The supervised experience may be full-time or part-time as defined below:
   - Full-time: At least nine months of continuous employment consisting of at least 30 hours per week in not more than two places of employment. The supervised experience must be completed within 24 months.
   - Part-time: Continuous periods of employment accumulated at the rate of not less than 15 hours per week for continuous periods of not less than six months. The supervised experience must be completed within 48 months.



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Applicants employed between 15 and 30 hours per week can complete the experience requirement within prorated time periods. For example, at 25 hours per week, 11 months would be required.

3. **Setting in which the Experience is Obtained.**

   (a.) Shall be clearly identified as a provider of professional service in speech-language pathology or audiology as defined by Sections 8201 and 8203 of the statute (Education Law, Article 159) (see page 21).

   (b.) Shall be designed to evaluate, habilitate/rehabilitate the communicative function of speech, language, and/or hearing-handicapped persons.

   Although there is a distinction between educational and clinical management programs, in the case of programs for children, the distinction may be difficult to make. Classroom based positions for pre-school or school age children may qualify if the purpose for the special class is the communication handicap. In such classes, all activities require specialized skills and knowledge in the area of speech, language, and hearing handicaps.

4. **Supervision of experience**.

   (a.) If the supervised experience occurs in a non-exempt setting (as defined in Section 8207 (2) of Article 159 of Education Law), the supervisor *must* hold the appropriate license. If the experience occurs in an exempt setting, or outside of New York State, the supervisor may hold *either* a New York State license or a Certificate of Clinical Competence issued by the American Speech-Language-Hearing Association in the appropriate professional area.

   (b.) The supervisor shall be provided by the organization in which the applicant is completing the required experience. The Board recommends that, if possible, the supervisor be employed in the same clinical setting as the applicant.

   Supervision shall include meeting with and observing the applicant on a regular basis to review and evaluate the supervised experience and to foster professional development.

   (c.) The Board recommends that the supervisor monitor the applicant's time a minimum average of 3 hours per week for a full-time experience or 1-1/2 hours for part-time. The monitoring should involve direct observation of the applicant's clinical practice. The use of audio tapes, video tapes, reports, discussions with administrators or colleagues may supplement the direct contact.

   (d.) The supervisor shall maintain written documentation of contacts with the supervisee.

   (e.) The supervisor shall submit a record of supervision of the applicant's work (Form 4). In the event that the applicant does not successfully complete the year of supervised experience, a written statement must document the reason therefore.

For a fuller description of the State Board's guidelines for evaluating the applicant's experience, see pages 39–41.

## V. APPLICATION INSTRUCTIONS FOR BOTH SPEECH-LANGUAGE PATHOLOGY (GREEN SECTION) AND AUDIOLOGY (YELLOW SECTION).

A. **Form 1 – Basic Application.** All candidates must complete appropriate form for their profession and submit it with the appropriate licensure fees (see page 7) directly to the Department.

B. **Form 1D – Candidate Data Record.** All candidates are asked to return this form with their application.

C. **Form 2R – Certification of Registered or Accredited Professional Education including Verification of Practicum.** Graduates of masters degree programs registered as licensure qualifying by the New York State Education Department or accredited by the American Speech-Language-Hearing Association (ASHA) must complete Section I of the form and forward it to the appropriate school for completion of Section II and submission to the Department. See page 42 for a listing of registered and/or ASHA accredited programs.

D. **Form 2N – Certification of Nonaccredited Professional Education including Verification of Practicum.** All graduates of masters de-

gree programs not included on the attached listing of registered and ASHA accredited programs must complete Form 2N. Candidates should complete Section I of this form and forward it to their college for completion of Section II and submission to the Department. **Candidates must also arrange to have official transcripts sent for both graduate and undergraduate studies.** Also, candidates from programs outside the United States must provide the Department with photocopies of all educational credentials, including transcripts or marksheets. All documents not printed in English must be accompanied by an acceptable translation that includes all written and printed matter on the originals and is prepared by a qualified translator. An Affidavit of Accuracy prepared by the translator must accompany the translation. The translator must affirm having read the entire translation after it has been completed, that the entire document has been translated, that nothing has been omitted or added, and that the translation is true and correct. The Department will only accept translations prepared by the candidate if they have been verified by a qualified translator who provides an Affidavit of Accuracy. The original translation will be returned to candidates only if photocopies of entire translations (including the Affidavit of Accuracy) are submitted.

E. **Form 3 – Identification of Supervisor and Setting.** For the applicant's protection, this form should be submitted at the *beginning* of the paid experience. This will allow for a timely review of the proposed plan resulting in the issuance of a Notice of Approval. This becomes critical especially for those candidates working in settings where licensure is mandated, such as hospitals, clinics, and private practices.

F. **Form 4 – Record of Supervised Experience.** This form should be submitted within the two weeks immediately following the completion of the paid experience. The intent of Forms 3 and 4 is to have applicants file at the beginning of the paid experience. Requests for approval of such experience on a retroactive basis should be addressed to the State Board for Speech-Language Pathology and Audiology. **This form will not be accepted if returned by the candidate.**

G. **Use of ASHA Clinical Fellowship Year (CFY) Credentials.** For those candidates who *began* their ASHA CFY prior to October 1, 1977, copies of the final report filed for ASHA may be submitted in lieu of Forms 3 and 4 of the application. *All* candidates who began their CFY *after* October 1, 1977 must document their experience on Forms 3 and 4. The supervisor of record should sign Forms 3 and 4 where appropriate. If it is not possible to contact the original supervisor, the candidate should have ASHA send a copy of the final report and contact the State Board Office for further instructions. All ASHA materials must be in the form of official copies *sent directly by ASHA* to the Division of Professional Licensing Services.

H. Test scores for the Specialty Examinations in Speech-Language Pathology and Audiology (NTE) must be sent directly by the testing service. Request that your NTE scores be sent to agency R7747 (New York State Board for Speech-Language Pathology and Audiology) by writing to: NTE Programs, Educational Testing Service, P.O. BOX 6052, Princeton, NJ 08541-6052 or telephoning (609) 771-7395. The fee for additional reports is $20.00. However, because ASHA has determined that scores more than five years old are invalid, some candidates will be unable to have their scores released by the testing agency. In such cases, the applicant must contact ASHA and ask that a letter be sent to the Office of the State Board for Speech-Language Pathology and Audiology indicating the date on which their examination was taken and the score achieved. Contact ASHA by writing to: American Speech-Language-Hearing Association, 10801 Rocksville Pike, Rockville, MD 20852 or telephoning (301) 897-5700. New York State currently has no limitations on the validity of test scores for the two professions.

If the applicant has filed in a timely fashion, the Division of Professional Licensing Service will send Forms 2 and 3, transcripts, and NTE scores (if available) to the Board office for review. If all forms are submitted at the same time, the unit will send the complete package to the Board office for final approval. If 4 to 5 weeks have passed after submission of Form 4 and notification of final approval has not yet been received, you may wish to telephone the Division of Professional Licensing Services (see preface for telephone numbers).

## VI. FEE INFORMATION

### A. Statutory Speech-Language Pathology and Audiology Fee Schedule:

| | Speech-Language Pathology | Audiology |
|---|---|---|
| Licensure in New York State (Including First Registration): | | |
| Fee Without Exam | $ 100 | $ 100 |
| First Registration | $ 135 | $ 135 |
| Total To Be Paid | $ 235 | $ 235 |
| Certification of New York State Licensing status to another authority | $ 20 | $ 20 |

**Do not send cash.** Please make check or money order payable to the New York State Education Department. Mail appropriate forms and fee to: Fee Section, Division of Professional Licensing Services, State Education Department, Cultural Education Center, Albany, New York 12230. **PLEASE NOTE: Payment submitted from outside the United States should be made by check or draft on a United States bank and in United States currency. Payments submitted in any other form will not be accepted and will be returned.**

### B. Fee Refunds:

The law provides that applicants for professional licenses not receiving such licenses may be granted partial refunds not exceeding fifty percent of the licensure fee paid to the Department. Licensure Fee refunds may be issued when a candidate withdraws his or her application for licensure. However, if a candidate withdraws the application and obtains a partial refund and then decides to seek New York State licensure at a later date, he or she will be considered as a new candidate. In such cases, new candidates would be required to pay the *full* licensure and registration fees and meet the licensure requirements in place at the time of reapplication. Requests for refunds must be made in writing.

### C. The Initial Registration Period:

1. Duration of Registration Periods.

   The established triennial registration periods for Speech-Language Pathology and Audiology are as follows:

   April 1, 1987 – March 31, 1990
   April 1, 1990 – March 31, 1993
   April 1, 1993 – March 31, 1996

2. Prorated Refunds of Initial Registration Fee.

   For newly licensed professionals or licensees reactivating their registration with the Department one or more years after the beginning of the established triennial registration period, a partial refund of either one-third of the fee submitted (second year registration) or two-thirds of the fee submitted (third year registration) will be issued by the Department. Such refunds normally take 8-12 weeks for processing and mailing.

## VII. Confirmation of Newly Issued Licenses/ Certificates:

When eligible candidates are approved for licensure by the Department, a license number and an effective date are issued. Approved candidates will become legally licensed and entitled to practice in New York State as of that effective date. Written confirmation of licensure by the department is verified by receipt of the registration certificate which is mailed within two to three weeks following the licensure date. Candidates who have reason to believe they have fully met the requirements for licensure are welcome to use the Division's licensure and registration verification services by telephoning the Toll Free Number in the preface. The license number, once issued, and the effective date of licensure will be confirmed by telephone.

# TITLE VIII OF THE EDUCATION LAW
# ARTICLE 130
# GENERAL PROVISIONS
# SUBARTICLE 1. INTRODUCTORY SUMMARY

### §6500. Introduction

This title provides for the regulation of the admission to and the practice of certain professions. This first article applies to all the professions included in this title, except that prehearing procedures and hearing procedures in connection with the regulation of professional conduct of the profession of medicine shall be conducted pursuant to the provisions of Title II-A of article two of the public health law. Each of the remaining articles applies to a particular profession.

### §6501. Admission to a profession (licensing)

Admission to practice of a profession in this state is accomplished by a license being issued to a qualified applicant by the education department. To qualify for a license an applicant shall meet the requirements prescribed in the article for the particular profession.

### §6502. Duration and registration of a license

1. A license shall be valid during the life of the holder unless revoked, annulled or suspended by the board of regents. A licensee must register with the department to practice in this state.

2. The department shall establish the beginning dates of the registration periods for each profession and mail an application for registration to every licensee currently registered at least four months prior to the beginning of the registration period for the respective profession.

3. An application for registration and the required registration fee shall be submitted together with or as a part of the application for a license. A person initially licensed or a licensee resuming practice after a lapse of registration during the last two years of a triennial registration period shall receive a prorated refund of one-third of the total registration fee for each full year of the triennial period that has elapsed prior to the date of registration. The department shall renew the registration of each licensee upon receipt of a proper application, on a form prescribed by the department, and the registration fee. Any licensee who fails to register by the beginning of the appropriate registration period shall be required to pay an additional fee for late filing of ten dollars for each month that registration has been delayed while such licensee continued to practice his profession. The licensee resuming practice after a lapse of registration shall be permitted to practice without actual possession of the registration certificate for not more than four months after mailing to the department the required application fee.

4. Any licensee who is not engaging in the practice of his profession in this state and does not desire to register shall so advise the department. Such licensee may subsequently register and resume practice without paying an additional fee for failure to register at the beginning of the registration period.

5. Licensees shall notify the department of any change of name or mailing address within thirty days of such change.

### §6503. Practice of a profession

Admission to the practice of a profession (1) entitles the licensee to practice the profession as defined in the article for the particular profession, (2) entitles the individual licensee to use the professional title as provided in the article for the particular profession, and (3) subjects the licensee to the procedures and penalties for professional misconduct as prescribed in this article (sections sixty-five hundred nine, sixty-five hundred ten, and sixty-five hundred eleven).

### §6504. Regulation of the professions

Admission to the practice of the professions (licensing) and regulation of such practice shall be supervised by the board of regents (section sixty-five hundred six) and administered by the education department (section sixty-five hundred seven), assisted by a state board for each profession (section sixty-five hundred eight).

**§6505. Construction**

No definition of the practice of a profession shall be construed to restrain or restrict the performance of similar acts authorized in the definition of other professions.

**§6505–a. Professional referrals**

There shall be no monetary liability on the part of, and no cause of action for damages shall arise against, any association or society of professionals authorized to practice under this title, or any employee, agent, or member thereof, for referring any person to a member of the profession represented by such association or society provided that such referral was made without charge as a service to the public, and without malice, and in the reasonable belief that such referral was warranted, based upon the facts disclosed.

## SUBARTICLE 2. STATE MANAGEMENT

### §6506. Supervision by the board of regents

The board of regents shall supervise the admission to and the practice of the professions. In supervising, the board of regents may:

1. Promulgate rules;

2. Establish by rule, high school, preprofessional, professional and other educational qualifications required for licensing in the professions regulated by this title;

3. Charter schools offering educational programs for the professions regulated by this title, and no such school shall operate in this state without such a charter, except Columbia University, any school chartered by special act of the legislature prior to September one, nineteen hundred seventy-one, and schools specifically authorized to conduct such programs by the regents;

4. Appoint such committees as it deems necessary and compensate members of such committees who are not members of the board of regents or the department up to one hundred dollars per day for each day devoted to committee functions, together with their necessary expenses;

5. Waive education, experience and examination requirements for a professional license prescribed in the article relating to the profession, provided the board of regents shall be satisfied that the requirements of such article have been substantially met;

6. Indorse a license issued by a licensing board of another state or country upon the applicant fulfilling the following requirements:

a. Application: file an application with the department;

b. Education: meet educational requirements in accordance with the commissioner's regulations;

c. Experience: have experience satisfactory to the board and in accordance with the commissioner's regulations;

d. Examination: pass an examination satisfactory to the board and in accordance with the commissioner's regulations;

e. Age: be at least twenty-one years of age;

f. Citizenship: be a United States citizen, or file a declaration of intention to become a citizen, unless such requirement is waived, in accordance with the commissioner's regulations;

g. Character: be of good moral character as determined by the department; and

7. Direct the department to remedy any error, omission, delay or other circumstance in the issuance or registration of a license;

8. Designate a professional conduct officer, who shall be the chief administrative officer of the office of the professions, or his designee, in connection with professional licensing and misconduct proceedings and criminal matters, such officer to be empowered to issue subpoenas and administer oaths in connection with such proceedings;

9. Establish by rule, standards of conduct with respect to advertising, fee splitting, practicing under a name other than that of the individual licensee (when not specifically authorized), proper use of academic or professional degrees or titles tending to imply professional status, and such other ethical practices as such board shall deem necessary; and

10. Delegate to department officers the disposition of any licensing matters pursuant to rules.

### §6507. Administration by the education department

1. The commissioner and the department shall administer the admission to and the practice of the professions.

2. In administering, the commissioner may:

a. Promulgate regulations;

b. Conduct investigations;

c. Issue subpoenas;

d. Grant immunity from prosecution in accordance with section 50.20 of the criminal procedure law to anyone subpoenaed in any investigation or hearing conducted pursuant to this title; and

e. Excuse, for cause acceptable to the commissioner, the failure to register with the department. Such excuse shall validate and authorize such practitioner's right to practice pending registration.

3. The department assisted by the board for each profession, shall:

a. Establish standards for preprofessional and professional education, experience and licensing examinations as required to implement the article for each profession;

b. Review qualifications in connection with licensing requirements; and

c. Provide for licensing examinations and reexaminations.

4. The department shall:

a. Register or approve educational programs designed for the purpose of providing professional preparation which meet standards established by the department.

b. Issue licenses, registrations, and limited permits to qualified applicants;

c. (i) Issue a certificate of authority to a qualified professional service corporation being organized under section fifteen hundred three of the business corporation law on payment of a fee of seventy-five dollars, (ii) file a certified copy of each certificate of incorporation and amendment thereto within thirty days after the filing of such certificate or amendment on payment of a fee of fifteen dollars, (iii) file the annual statement required by section fifteen hundred fourteen of the business corporation law on payment of a fee of thirty dollars (iv) as of July first, nineteen hundred eighty-eight, file a triennial statement required on payment of a fee of ninety dollars. The first triennial period shall commence on July first, nineteen hundred eighty-eight.

d. Revoke limited permits on the recommendation of the committee on professional conduct for the profession concerned;

e. Maintain public records of licenses issued and retain in its files identifying data concerning each person to whom a license has been issued;

f. Collect the fees prescribed by this title or otherwise provided by law;

g. Prepare an annual report for the legislature, the governor and other executive offices, the state boards for the professions, professional societies, consumer agencies and other interested persons. Such report shall include but not be limited to a description and analysis of the administrative procedures and operations of the department based upon a statistical summary relating to (i) new licensure, (ii) discipline, (iii) complaint, investigation, and hearing backlog, (iv) budget, and (v) the state boards for the professions. Information provided shall be enumerated by profession; and

h. Establish an administrative unit which shall be responsible for the investigation, prosecution and determination of alleged violations of professional conduct.

5. The commissioner and the department shall perform any other functions necessary to implement this title.

### §6508. Assistance by state boards for the professions

1. A board for each profession shall be appointed by the board of regents on the recommendation of the commissioner for the purpose of assisting the board of regents and the department on matters of professional licensing, practice, and conduct. The composition of each board shall be as prescribed in the article relating to each profession. Within each board a committee on licensing may be appointed by the board chairman.

Except as provided in paragraph (a) of this subdivision, the membership of each professional licensing board shall be increased by one member, and each such board shall have at least one public representative who shall be selected by the board of regents from the general public.

a. The membership of the professional licensing boards created under sections sixty-five hundred twenty-three, sixty-eight hundred four, sixty-nine hundred three, and seventy-four hundred three of this chapter shall be increased by two members, and each such board shall have at least two public representatives, who shall be selected by the board of regents from the general public.

b. For the purposes of this title, a "public representative" shall be a person who is a consumer of services provided by those licensed or otherwise supervised or regulated by the boards created hereunder, and shall not be, nor within five years immediately preceding appointment have been:

(i) a licensee or person otherwise subject to the supervision or regulation of the board to which appointed; or

(ii) a person maintaining a contractual relationship with a licensee of such board, which would constitute more than two percentum of the practice or business of any such licensee, or an officer, director, or representative of such person or group of persons.

2. Each board, or its committee on licensing, shall select or prepare examinations, may conduct oral and practical examinations and reexaminations, shall fix passing grades, and assist the department in other licensing matters as prescribed by the board of regents.

3. Each board shall conduct disciplinary proceedings as prescribed in this article and shall assist in other professional conduct matters as prescribed by the board of regents.

4. Members of each board shall be appointed by the board of regents for five-year terms except that the terms of those first appointed shall be arranged so that as nearly as possible an equal number shall terminate annually. A vacancy occurring during a term shall be filled by an appointment by the board of regents for the unexpired term. Each state professional association or society may nominate one or more candidates for each appointment to be made to the board for its profession, but the board of regents shall not be required to appoint candidates so nominated. Former members of a board may be re-appointed by the board of regents, on the recommendation of the commissioner, to serve as members of the board solely for the purposes of disciplinary proceedings, proceedings relating to the moral character of an applicant for licensure, and proceedings relating to applications for the restoration of a professional license. Former board members so appointed may not comprise a majority of any panel.

5. Each member of a board shall receive a certificate of appointment, shall before beginning his term of office file a constitutional oath of office with the secretary of state, shall receive up to one hundred dollars as prescribed by the board of regents for each day devoted to board work, and shall be reimbursed for his necessary expenses. Any member may be removed from a board by the board of regents for misconduct, incapacity or neglect of duty.

6. Each board shall elect from its members a chairman and vice-chairman annually, shall meet upon call of the chairmen or the department, and may adopt bylaws consistent with this title and approved by the board of regents. A quorum for the transaction of business by the board shall be a majority of members but not less than five members.

7. An executive secretary to each board shall be appointed by the board of regents on recommendation of the commissioner. Such executive secretary shall not be a member of the board, shall hold office at the pleasure of, and shall have the powers, duties and annual salary prescribed by the board of regents.

## SUBARTICLE 3. PROFESSIONAL MISCONDUCT

### §6509. Definitions of professional misconduct

Each of the following is professional misconduct, and any licensee found guilty of such misconduct under the procedures prescribed in section sixty-five hundred ten shall be subject to the penalties prescribed in section sixty-five hundred eleven:

1. Obtaining the license fraudulently,

2. Practicing the profession fraudulently, beyond its authorized scope, with gross incompetence, with gross negligence on a particular occasion or negligence or incompetence on more than one occasion,

3. Practicing the profession while the ability to practice is impaired by alcohol, drugs, physical disability, or mental disability,

4. Being habitually drunk or being dependent on, or a habitual user of narcotics, barbiturates, amphetamines, hallucinogens, or other drugs having similar effects,

5. a. Being convicted of committing an act constituting a crime under:

   (i) New York State law or,
   (ii) Federal law or,
   (iii) The law of another jurisdiction and which, if committed within this state, would have constituted a crime under New York State law;

   b. Having been found guilty of improper professional practice or professional misconduct by a duly authorized professional disciplinary agency of another state where the conduct upon which the finding was based would, if committed in New York state, constitute professional misconduct under the laws of New York state;

   c. Having been found to be in violation of the applicable provisions of title seven of article thirty-three of the public health law by the commissioner of health,

   d. Having his license to practice medicine revoked, suspended or having other disciplinary action taken, or having his application for a license refused, revoked or suspended or having voluntarily or otherwise surrendered his license after a disciplinary action was instituted by a duly authorized professional disciplinary agency of another state, where the conduct resulting in the revocation, suspension or other disciplinary action involving the license or refusal, revocation or suspension of an application for a license or the surrender of the license would, if committed in New York state, constitute professional misconduct under the laws of New York state.

6. Refusing to provide professional service to a person because of such person's race, creed, color, or national origin,

7. Permitting, aiding or abetting an unlicensed person to perform activities requiring a license,

8. Practicing the profession while the license is suspended, or wilfully failing to register or notify the department of any change of name or mailing address, or if a professional service corporation wilfully failing to comply with sections fifteen hundred three and fifteen hundred fourteen of the business corporation law,

9. Committing unprofessional conduct, as defined by the board of regents in its rules or by the commissioner in regulations approved by the board of regents,

10. A willful violation by a licensed physician of subdivision eleven of section two hundred thirty of the public health law, or

11. A violation of section twenty-eight hundred three-d or twenty-eight hundred five-k of the public health law.

12. Failure to comply with an order issued pursuant to subdivision seven of section two hundred thirty of the public health law.

### §6509-a. Additional definition of professional misconduct; limited application

Notwithstanding any inconsistent provision of this article or of any other provision of law to the contrary, the license or registration of a person subject to the provisions of articles one hundred thirty-one, one hundred thirty-one-a, one hundred thirty-two, one hundred thirty-three, one hundred thirty-seven, one hundred thirty-nine, one hundred forty-one, one hundred forty-three, one hundred forty-four, one hundred fifty-six and one hundred fifty-

nine of this chapter may be revoked, suspended or annulled or such person may be subject to any other penalty provided in section sixty-five hundred eleven of this article in accordance with the provisions and procedure of this article for the following:

That any person subject to the above enumerated articles, has directly or indirectly requested, received or participated in the division, transference, assignment, rebate, splitting or refunding of a fee for, or has directly requested, received or profited by means of a credit or other valuable consideration as a commission, discount or gratuity in connection with the furnishing of professional care, or service, including x-ray examination and treatment, or for or in connection with the sale, rental, supplying or furnishing of clinical laboratory services or supplies, x-ray laboratory services or supplies, inhalation therapy service or equipment, ambulance service, hospital or medical supplies, physiotherapy or other therapeutic service or equipment, artifical limbs, teeth or eyes, orthopedic or surgical appliances or supplies, optical appliances, supplies or equipment, devices for aid of hearing, drugs, medication or medical supplies or any other goods, services or supplies prescribed for medical diagnosis, care or treatment under this chapter, except payment not to exceed thirty-three and one-third per centum of any fee received for x-ray examination, diagnosis or treatment, to any hospital furnishing facilities for such examination, diagnosis or treatment. Nothing contained in this section shall prohibit such persons from practicing as partners, in groups or as a professional corporation nor from pooling fees and moneys received, either by the partnerships, professional corporations or groups by the individual members thereof, for professional services furnished by any individual professional member, or employee of such partnership, corporation or group, nor shall the professionals constituting the partnerships, corporations or groups be prohibited from sharing, dividing or apportioning the fees and moneys received by them or by the partnership, corporation or group in accordance with a partnership or other agreement; provided that no such practice as partners, corporations or in groups or pooling of fees or moneys received or shared, division or apportionment of fees shall be permitted with respect to care and treatment under the workmen's compensation law except as expressly authorized by the workmen's compensation law. Nothing contained in this chapter shall prohibit a medical or dental expense indemnity corporation pursuant to its contract with the subscriber from prorationing a medical or dental expense indemnity allowance among two or more professionals in proportion to the services rendered by each such professional at the request of the subscriber, provided that prior to payment thereof such professionals shall submit both to the medical or dental expense indemnity corporation and to the subscriber statements itemizing the services rendered by each such professional and the charges therefor.

### §6510. Proceedings in cases of professional misconduct

In cases of professional misconduct the proceedings shall be as follows:

1. Preliminary procedures.
   a. Complaint. A complaint of a licensee's professional misconduct may be made by any person to the education department.
   b. Investigation. The department shall investigate each complaint which alleges conduct constituting professional misconduct. The results of the investigation shall be referred to the professional conduct officer designated by the board of regents pursuant to section sixty-five hundred six of this article. If such officer decides that there is not substantial evidence of professional misconduct or that further proceedings are not warranted, no further action shall be taken. If such officer, after consultation with a professional member of the applicable state board for the profession, determines that there is substantial evidence of professional misconduct, and that further proceedings are warranted, such proceedings shall be conducted pursuant to this section. If the complaint involves a question of professional expertise, then such officer may seek, and if so shall obtain, the concurrence of at least two members of a panel of three members of the applicable board.
   c. Charges. In all disciplinary proceedings other than those terminated by an administrative warning pursuant to paragraph a of subdivision two of this section, the department shall prepare the charges. The charges shall state the alleged professional misconduct and shall state concisely the material facts but not the evidence by which the charges are to be proved.
   d. Service of charges and of notice of hearing. A copy of the charges and notice of any hearing pursuant to subdivision two or three of this section shall be served on the licensee personally by the department at least fifteen days before the hearing. If personal service cannot be made after due diligence and such fact is certified under oath, a copy of the charges and the notice of hearing shall be served by certified mail, return receipt requested to the licensee's last known address by the department at least twenty days before the hearing.

2. Expedited procedures.

a. Violations. Violations involving professional misconduct of a minor or technical nature may be resolved by expedited procedures as provided in paragraph b or c of this subdivision. For purposes of this subdivision, violations of a minor or technical nature shall include, but shall not be limited to, isolated instances of violations concerning professional advertising or record keeping, and other isolated violations which do not directly affect or impair the public health, welfare or safety. The board of regents shall make recommendations to the legislature on or before June first, nineteen hundred eighty-one, for the further definition of violations of a minor or technical nature. The initial instance of any violation of a minor or technical nature may be resolved by the issuance of an administrative warning pursuant to paragraph b of this subdivision. Subsequent instances of similar violations of a minor or technical nature within a period of three years may be resolved by the procedure set forth in paragraph c of this subdivision.

b. Administrative warning. If a professional conduct officer, after consultation with a professional member of the state board, determines that there is substantial evidence of professional misconduct but that it is an initial violation of a minor or technical nature which would not justify the imposition of a more severe disciplinary penalty, the matter may be terminated by the issuance of an administrative warning. Such warnings shall be confidential and shall not constitute an adjudication of guilt or be used as evidence that the licensee is guilty of the alleged misconduct. However, in the event of a further allegation of similar misconduct by the same licensee, the matter may be reopened and further proceedings instituted as provided in this section.

c. Determination of penalty on uncontested minor violations. If a professional conduct officer, after consultation with a professional member of the state board, determines that there is substantial evidence of a violation of a minor or technical nature, and of a nature justifying a penalty as specified in this paragraph, the department may prepare and serve charges either by personal service or by certified mail, return receipt requested. Such charges shall include a statement that unless an answer is received within twenty days denying the charges, the matter shall be referred to a violations committee consisting of five members of the state board for the profession, at least one of whom shall be a public representative for determination. The violations panel shall be appointed by the executive secretary of the state board. The licensee shall be given at least fifteen days notice of the time and place of the meeting of the violations committee and shall have the right to appear in person and by an attorney and to make a statement to the committee in mitigation or explanation of the misconduct. The department may appear and make a statement in support of its position. The violations committee may issue a censure and reprimand, and in addition, or in the alternative, may impose a fine not to exceed five hundred dollars for each specification of minor, or technical misconduct. If the fine is not paid within three months the matter may be reopened and shall be subject to the hearing and regents decision procedures of this section. The determination of the panel shall be final and shall not be subject to the regents decision procedures of this section. If an answer is filed denying the charges, the matter shall be processed as provided in subdivision three of this section.

d. Convictions of crimes or administrative violations. In cases of professional misconduct based solely upon a violation of subdivision five of section sixty-five hundred nine of this article, the professional conduct officer may prepare and serve the charges and may refer the matter directly to a regents review committee for its review and report of its findings, determination as to guilt, and recommendation as to the measure of discipline to be imposed. In such cases the notice of hearing shall state that the licensee may file a written answer or brief; that the licensee may appear personally before the regents review committee and may be represented by counsel, and the notice may contain such other information as may be considered appropriate by the department. In lieu of referring the matter to the board of regents, the regents review committee may refer any such matter for further proceedings pursuant to paragraph b or c of this subdivision or subdivision three of this section.

3. Adversary proceedings. Contested disciplinary proceedings and other disciplinary proceedings and other disciplinary proceedings not resolved pursuant to subdivision two of this section shall be tried before a hearing panel of the appropriate state board as provided in this subdivision.

a. Notice of hearing. The department shall set the time and place of the hearing and shall prepare the notice of hearing. The notice of hearing shall

state (1) the time and place of the hearing, (2) that the licensee may file a written answer to the charges prior to the hearing, (3) that the licensee may appear personally at the hearing and may be represented by counsel, (4) that the licensee shall have the right to produce witnesses and evidence in his behalf, to cross-examine witnesses and examine evidence produced against him, and to issue subpoenas in accordance with the provisions of the civil practice law and rules, (5) that a stenographic record of the hearing will be made, and (6) such other information as may be considered appropriate by the department.

b. Hearing panel. The hearing shall be conducted by a panel of five or more members of the applicable state board for the profession, at least one of whom shall be a public representative. The executive secretary for the applicable state board shall appoint the panel and shall designate its chairperson. In addition to said panel members, the department shall designate an administrative officer, admitted to practice as an attorney in the state of New York, who shall have the authority to rule on all motions, procedures and other legal objections and shall draft a report for the hearing panel which shall be subject to the approval of and signature by the panel chairperson on behalf of the panel. The administrative officer shall not be entitled to a vote.

c. Conduct of hearing. The evidence in support of the charges shall be presented by an attorney for the department. The licensee shall have the rights required to be stated in the notice of hearing. The panel shall not be bound by the rules of evidence, but its determination of guilt shall be based on substantial legal evidence. A hearing which has been initiated shall not be discontinued because of the death or incapacity to serve of one member of the hearing panel.

d. Results of hearing. The hearing panel shall render a written report which shall include (1) findings of fact, (2) a determination of guilty or not guilty on each charge, and (3) in the event of a determination of guilty, a recommendation of the penalty to be imposed. For the panel to make a determination of guilty, a minimum of four of the voting members of the panel must vote for such a determination. A copy of the report of the hearing panel shall be transmitted to the licensee.

4. Regents decision procedures.

a. Regents review committee. The transcript and report of the hearing panel shall be reviewed at a meeting by a regents review committee appointed by the board of regents. The regents review committee shall consist of three members, at least one of whom shall be a regent.

b. Regents review committee meetings. The review shall be based on the transcript and the report of the hearing panel. The licensee may appear at the meeting, and the regents review committee may require the licensee to appear. The licensee may be represented by counsel. The department shall notify the licensee at least seven days before the meeting (1) of the time and place of the meeting, (2) of his right to appear, (3) of his right to be represented by counsel, (4) whether or not he is required to appear, and (5) of such other information as may be considered appropriate. After the meeting, the regents review committee shall transmit a written report of its review to the board of regents. In cases referred directly to the regents review committee pursuant to paragraph d of subdivision two of this section, the review shall be based upon the charges, the documentary evidence submitted by the department, and any answer, affidavits or brief the licensee may wish to submit.

c. Regents decision and order. The board of regents (1) shall consider the transcript, the report of the hearing panel, and the report of the regents review committee, (2) shall decide whether the licensee is guilty or not guilty on each charge, (3) shall decide what penalties, if any, to impose as prescribed in section sixty-five hundred eleven of this article, and (4) shall issue an order to carry out its decisions. Such decisions shall require the affirmative vote of a majority of the members of the board of regents. If the board of regents disagrees with the hearing panel's determination of not guilty, it shall remand the matter to the original panel for reconsideration or to a new panel for a new hearing. The panel's determination of not guilty on reconsideration or a new hearing shall be final. The order shall be served upon the licensee personally or by certified mail to the licensee's last known address and such service shall be effective as of the date of the personal service or five days after mailing by certified mail. The licensee shall deliver to the department the license and registration certificate which has been revoked, annulled, suspended, or surrendered within five days after the effective date of the service of the order. If the license or registration certificate is lost, misplaced or its whereabouts is otherwise unknown, the licensee shall

submit an affidavit to that effect, and shall deliver such license or certificate to the department when located.

5. Court review procedures. The decisions of the board of regents may be reviewed pursuant to the proceedings under article seventy-eight of the civil practice law and rules. Such proceedings shall be returnable before the appellate division of the third judicial department, and such decisions shall not be stayed or enjoined except upon application to such appellate division after notice to the department and to the attorney general and upon a showing that the petitioner has a substantial likelihood of success.

6. The provisions of subdivisions one through four of this section shall not be applicable to proceedings in cases of professional misconduct involving the medical profession.

7. Notwithstanding any other provisions of the law, persons who assist the department as consultants or expert witnesses in the investigation or prosecution of alleged professional misconduct, licensure matters, restoration proceedings, or criminal prosecutions for unauthorized practice, shall not be liable for damages in any civil action or proceeding as a result of such assistance, except upon proof of actual malice. The attorney general shall defend such persons in any such action or proceedings, in accordance with section seventeen of the public officers law.

8. The files of the department relating to the investigation of possible instances of professional misconduct, or the unlawful practice of any profession licensed by the board of regents, or the unlawful use of a professional title or the moral fitness of an applicant for a professional license or permit, shall be confidential and not subject to disclosure at the request of any person, except upon the order of a court in a pending action or proceeding. The provisions of this subdivision shall not apply to documents introduced in evidence at a hearing held pursuant to this chapter and shall not prevent the department from sharing information concerning investigations with other duly authorized public agencies responsible for professional regulation or criminal prosecution.

### §6510–a. Proceedings in cases of professional misconduct involing the medical profession

1. Prehearing procedures, hearing procedures and summary action procedures. Prehearing procedures, hearing procedures and summary action procedures in cases of professional misconduct involving the medical profession shall be conducted pursuant to the provisions of Title II-A of article two of the public health law.

2. Regents decision and order. Within sixty days of the transfer of a case of professional misconduct involving the medical profession by the commissioner of health pursuant to section two hundred thirty of the public health law, the board of regents (a) shall consider the transcript, exhibits and other evidence, if any, the findings, conclusions and recommendation of the committee on professional misconduct and the recommendation of the commissioner of health, (b) shall decide whether the licensee is guilty or not guilty on each charge, (c) shall decide what penalties, if any, to impose as prescribed in section sixty-five hundred eleven of this article, and (d) shall issue an order to carry out its decisions. Such decisions shall require the affirmative vote of a majority of the members of the board.

3. Time limitations.

a. No failure to comply with a provision of this section requiring that a specified action shall be taken within a specified period of time shall affect the validity of the action taken.

b. The time limitations specified in this section for the completion of specified actions may be extended, upon application of a licensee or his attorney, for good cause shown, upon application made prior to the expiration of such time limitations.

4. Court review procedures. The decisions of the board of regents may be reviewed pursuant to the proceedings under article seventy-eight of the civil practice law and rules. Such proceedings shall be returnable before the appellate division of the third judicial department, and such decisions shall not be stayed or enjoined except upon application to such appellate division after notice to the attorney general.

### §6510–b. Temporary surrender of licenses during treatment for drug or alcohol abuse

1. The license and registration of a licensee who may be temporarily incapacitated for the active practice of a profession licensed pursuant to title eight of this chapter, except professionals licensed pursuant to article one hundred thirty-one or article one hundred thirty-one-b thereof, and whose alleged incapacity is the result of a problem of drug or alcohol abuse which has not resulted in harm to a patient or client, may be voluntarily surrendered to the department, which may accept and hold such license during the period of such alleged incapacity or the department may accept the

surrender of such license after agreement to conditions to be met prior to the restoration of the license. The department shall give written notification of such surrender to the licensing authorities of any other state or country in which the licensee is authorized to practice. In addition to the foregoing, the department shall also give written notification of such surrender, for professionals licensed pursuant to articles one hundred thirty-two, one hundred thirty-three, one hundred thirty-five, one hundred thirty-seven, one hundred thirty-nine and one hundred forty-one of this chapter to the commissioner of health or his designee, and where appropriate to each hospital at which the professional has privileges, is affiliated, or is employed. The licensee whose license is so surrendered shall notify all persons who request professional services that he or she has temporarily withdrawn from the practice of the profession. The department may provide for similar notification of patients or clients and of other interested parties, as appropriate under the circumstances of the professional practice and responsibilities of the licensee. The licensure status of such licensee shall be "inactive" and he or she shall not be authorized to practice the profession and shall refrain from practice in this state or in any other state or country. The voluntary surrender shall not be deemed to be an admission of disability or of professional misconduct, and shall not be used as evidence of a violation of subdivision three or four of section sixty-five hundred nine of this chapter, unless the licensee practices while the license is "inactive"; and any such practice shall constitute a violation of subdivision eight of said section. The surrender of a license under this subdivision shall not bar any disciplinary action except action based solely upon the provisions of subdivision three or four of section sixty-five hundred nine of this chapter, and only if no harm to a patient has resulted; and shall not bar any civil or criminal action or proceeding which might be brought without regard to such surrender. A surrendered license shall be restored upon a showing to the satisfaction of the department that the licensee is not incapacitated for the active practice of the profession, provided that the department may, by order of the commissioner, impose reasonable conditions on the licensee, if it determines that because of the nature and extent of the licensee's former incapacity, such conditions are necessary to protect the health, safety and welfare of the public. Prompt written notification of such restoration shall be given to all licensing bodies which were notified of the temporary surrender of the license.

2. There shall be appointed within the department, by the board of regents, a committee on drug and alcohol abuse, which shall advise the board of regents on matters relating to practice by professional licensees with drug or alcohol abuse problems, and which shall administer the provisions of this section. The board of regents shall determine the size, composition, and terms of office of such committee, a majority of the members of which shall be persons with expertise in problems of drug or alcohol abuse. The committee shall recommend to the board of regents such rules as are necessary to carry out the purposes of this section, including but not limited to procedures for the submission of applications for the surrender of a license and for the referral of cases for investigation or prosecution pursuant to section sixty-five hundred ten of this chapter if a licensee fails to comply with the conditions of an approved program of treatment. There shall be an executive secretary appointed by the board of regents to assist the committee. Determinations by the committee relating to licensees shall be made by panels of at least three members of the committee designated by the executive secretary, who shall also designate a member of the state board for the licensee's profession as an ex-officio nonvoting member of each panel.

3. Application for the surrender of a license pursuant to this section shall be submitted to the committee, and shall identify a proposed treatment or rehabilitation program, and shall include a consent to the release of all information concerning the licensee's treatment to the committee. All information concerning an application, other than the fact of the surrender of the license and the participation in the program and the successful completion or failure of or withdrawal from the program, shall be strictly confidential, and may not be released by the committee to any person or body without the consent of the licensee. The immunity from disciplinary action conferred by this section shall be conditioned upon the approval of the treatment or rehabilitation program by the committee and its successful completion by the applicant and the elimination of the incapacity to practice. Approval of a treatment or rehabilitation program by the committee shall not constitute a representation as to the probability of success of the program or any assumption of financial responsibility for its costs.

4. The immunity from disciplinary action conferred by this section may be revoked by the committee upon a finding that the licensee has failed to successfully complete the program or that the incapacity to practice has not been eliminated. Such revocation shall be made only after notice and an opportunity to be heard, but no adjudicatory hearing shall be required. The matter shall be referred for appropriate proceedings pursuant to section sixty-five hundred ten of this chapter. The li-

cense must be returned unless charges are served pursuant to section sixty-five hundred ten within thirty days after the revocation of the approval of the special treatment afforded by this section.

5. The commissioner is authorized to adopt regulations to carry out the purposes of this section, including but not limited to the notice of temporary inactive status to be required in different professions and practice situations and the measures required upon temporary withdrawal from practice.

6. No individual who serves as a member of a committee whose purpose is to confront and refer either to treatment or to the department licensees who are thought to be suffering from alcoholism or drug abuse shall be liable for damages to any person for any action taken by such individual provided such action was taken without malice and within the scope of such individual's function as a member of such committee, and provided further that such committee has been established by and functions under the auspices of an association or society of professionals authorized to practice under this title.

### §6511. Penalties for professional misconduct

The penalties which may be imposed by the board of regents on a present or former licensee found guilty of professional misconduct (under the definitions and proceedings prescribed in sections sixty-five hundred nine, sixty-five hundred ten and sixty-five hundred ten-a of this article) are: (1) censure and reprimand, (2) suspension of license, (a) wholly, for a fixed period of time; (b) partially, until the licensee successfully completes a course of retraining in the area to which the suspension applies; (c) wholly, until the licensee successfully completes a course of therapy or treatment prescribed by the regents; (3) revocation of license, (4) annulment of license or registration, (5) limitation on registration or issuance of any further license, (6) a fine not to exceed ten thousand dollars, upon each specification of charges of which the respondent is determined to be guilty, (7) a requirement that a licensee pursue a course of education or training, and (8) a requirement that a licensee perform up to one hundred hours of public service, in a manner and at a time and place as directed by the board. The board of regents may stay such penalties in whole or in part, may place the licensee on probation and may restore a license which has been revoked. Any fine imposed pursuant to this section or pursuant to subdivision two of section sixty-five hundred ten of this article may be sued for and recovered in the name of the people of the state of New York in an action brought by the attorney general. In such action the findings and determination of the board of regents or of the violations committee shall be admissible evidence and shall be conclusive proof of the violation and the penalty assessed.

### §6511-a. Probation for professional misconduct involving physicians, physician's assistants and specialists' assistants.

The order of the board of regents imposing probation in professional misconduct cases involving physicians, physician's assistants and specialists' assistants may include (a) a review of the professional performance of the licensee by randomly selecting office records, patient records and hospital charts, (b) quarterly visits by the licensee to a member of the state board for professional medical conduct or an employee of the office of professional medical conduct, (c) appointing another physician to monitor the licensee's practice, (d) auditing of the licensee's billings for services rendered during probation, (e) requiring the licensee to submit on a random basis to tests for the presence of alcohol or drugs, (f) requiring the licensee to obtain additional training prior to completion of the probation, (g) requiring the licensee to work in a supervised setting, and (h) such additional requirements as may be specified by the board of regents pursuant to regulation. The decision and order of the board of regents placing a licensee on probation shall set forth the specific terms and conditions of the probation. The commissioner of health is authorized to monitor physicians, physician's assistants and specialists' assistants who have been placed on probation pursuant to an order of the board of regents. If the board of regents determines a licensee has violated any term or condition of probation, they may impose any additional penalty authorized pursuant to section sixty-five hundred eleven of this article.

## SUBARTICLE 4. UNAUTHORIZED ACTS

### §6512. Unauthorized practice a crime

1. Anyone not authorized to practice under this title who practices or offers to practice or holds himself out as being able to practice in any profession in which a license is a prerequisite to the practice of the acts, or who practices any profession as an exempt person during the time when his professional license is suspended, revoked or annulled, or who aids or abets an unlicensed person to practice a profession, or who fraudulently sells, files, furnishes, obtains, or who attempts fradulently to sell, file, furnish or obtain any diploma, license, record or permit purporting to authorize the practice of a profession, shall be guilty of a class E felony.

2. Anyone who knowingly aids or abets three or more unlicensed persons to practice a profession or employs or holds such unlicensed persons out as being able to practice in any profession in which a license is a prerequisite to the practice of the acts, or who knowingly aids or abets three or more persons to practice any profession as exempt persons during the time when the professional licenses of such persons are suspended, revoked or annulled, shall be guilty of a class E felony.

### §6513. Unauthorized use of a professional title a crime

1. Anyone not authorized to use a professional title regulated by this title, and who uses such professional title, shall be guilty of a class A misdemeanor.

2. Anyone who knowingly aids or abets three or more persons not authorized to use a professional title regulated by this title, to use such professional title, or knowingly employs three or more persons not authorized to use a professional title regulated by this title, who use such professional title in the course of such employment, shall be guilty of a class E felony.

### §6514. Criminal proceedings

1. All alleged violations of sections sixty-five hundred twelve or sixty-five hundred thirteen of this article shall be reported to the department which shall cause an investigation to be instituted. If the investigation substantiates that violations exist, such violations shall be reported to the attorney general with a request for prosecution.

2. The attorney general shall prosecute such alleged offenses in the name of the state, provided, however, in the event of alleged violations of article one hundred fifty-five of this title occurring in cities with a population of one million or more, a district attorney may prosecute such alleged offenses in the name of the state provided, however, that any district attorney may prosecute such offenses where they are incidental to a criminal prosecution instituted by him under other statutes.

3. All criminal courts having jurisdiction over misdemeanors are hereby empowered to hear, try and determine alleged violations under this title, which constitute misdemeanors, without indictment and to impose applicable punishment of fines or imprisionments or both. It shall be necessary to prove in any prosecution under this title only a single prohibited act or a single holding out without proving a general course of conduct.

4. A proceeding before a committee on professional conduct shall not be deemed to be a criminal proceeding within the meaning of this section.

### §6515. Restraint of unlawful acts

Where a violation of this title is alleged to have occurred, the attorney general or, in the event of alleged violations of article one hundred fifty-five of this title occurring in cities having a population of one million or more, the corporation counsel may apply to the supreme court within the judicial district in which such violation is alleged to have occurred for an order enjoining or restraining commission or continuance of the unlawful acts complained of. The court shall have jurisdiction of the proceedings and shall have power to grant such temporary relief or restraining order as it deems just and proper. In any such proceeding it shall be unnecessary to allege or prove that an adequate remedy at law does not exist or that irreparable damage would result if such order were not granted. The remedy provided in this section shall be in addition to any other remedy provided by law or to the proceedings commenced against a licensee under this title.

# ARTICLE 159
# SPEECH-LANGUAGE PATHOLOGISTS AND AUDIOLOGISTS

Section 8200. Introduction.
8201. Definition of practice of speech-language pathology.
8202. Practice of speech-language pathology.
8203. Definition of practice of audiology.
8204. Practice of audiology.
8205. State board for speech-language pathology and audiology.
8206. Requirements for a professional license.
8207. Exempt persons.
8208. Special provisions.

**§8200. Introduction.** This article applies to the professions of speech-language pathology and audiology. The general provisions for all professions contained in article one hundred thirty of this title apply to this article.

**§8201. Definition of practice of speech-language pathology.** The practice of the profession of speech-language pathology shall mean the application of principles, methods and procedures of measurement, prediction, non-medical diagnosis, testing, counseling, consultation, rehabilitation and instruction related to the development and disorders of speech, voice, and/or language for the purpose of preventing, ameliorating or modifying such disorder conditions in individuals and/or groups of individuals.

**§8202. Practice of speech-language pathology.** Only a person licensed or otherwise authorized under this article shall practice speech-language pathology or use the title of speech-language pathologist.

**§8203. Definition of practice of audiology.** The practice of the profession of audiology shall mean the application of principles, methods and procedures of measurement, testing, evaluation, consultation, counselling, instruction and habilitation or rehabilitation related to hearing, its disorders and related communication, impairments for the purpose of non-medical diagnosis, prevention, identification, amelioration or modification of such disorders and conditions in individuals and/or groups of individuals.

**§8204. Practice of audiology.** Only a person licensed or otherwise authorized under this article shall practice audiology or use the title audiologist.

**§8205. State board for speech-language pathology and audiology.** A state board for speech-language pathology and audiology shall be appointed by the board of regents on recommendation of the commissioner for the purpose of assisting the board of regents and the department on matters of professional licensing and professional conduct in accordance with section sixty-five hundred eight of this title. The board shall consist of not less than seven members, three of whom shall be audiologists and four of whom shall be speech-language pathologists. Each speech-language pathologist and audiologist on the board shall be licensed and have practiced in this state for at least five years, as provided under this article except that the members of the first board need not be licensed prior to their appointment to the board. An executive secretary to the board shall be appointed by the board of regents on recommendation of the commissioner.

**§8206. Requirements for a professional license.** To qualify for a license as a speech-language pathologist or audiologist, an applicant shall fulfill the following requirements.

(1) Application: file an application with the department;

(2) Education: have obtained at least a Masters degree in speech-language pathology and/or audiology or its equivalent, as determined by the department, in accordance with the commissioner's regulations;

(3) Experience: have experience satisfactory to the board and in accordance with the commissioner's regulations;

(4) Examination: pass an examination satisfactory to the board and in accordance with the commisioner's regulations;

(5) Age: be at least twenty-one years of age;

(6) Character: be of good moral character as determined by the department; and

(7) Fees: pay a fee of one-hundred twenty dollars to the department for admission to a department conducted examination and for an initial license, a fee of sixty dollars for each reexamination, a fee of one-hundred dollars for an initial license for persons not requiring admission to a department conducted examination, and a fee of one-hundred thirty-five dollars for each triennial registration period.

**§8207. Exempt persons.** This article shall not be construed as prohibiting:

(1) The practice of any other professions licensed or registered under this title.

(2) Any person employed by the federal, state or a local government or by a public or non-public elementary or secondary school or an institution of higher learning from performing the duties of a speech-language pathologist, an audiologist, a teacher of the speech and hearing handicapped, or a teacher of the deaf in the course of such employment.

(3) Any person from engaging in clinical or academic practice under the supervision of a licensed speech-language pathologist or audiologist for such period of time as may be necessary to complete an experience requirement for a professional license, as provided in this article and in rules or regulations approved by the board of regents with the advice of the state board for speech-language pathology and audiology.

(4) A person from another state from performing speech-language pathology or audiology services in this state provided such services are performed for no more than thirty days in any calendar year and provided that such services are performed in conjunction with and/or under the supervision of a speech-language pathologist or audiologist licensed under this article.

(5) Any hearing aid dealer from performing hearing measurements by means of an audiometer or other testing equipment when used solely for the purpose of selecting, fitting, selling or dispensing an instrument designed to aid or improve human hearing, including the taking of impressions for the making and fitting of ear molds and the demonstration of use and instructions of persons in the use of such hearing aids and accessories thereto.

**§8208. Special provisions.**

(1) Every person regularly employed in teaching or working as a speech-language pathologist or aduiologist for not less than two years prior to the effective date of this article shall be issued a license by the department, if he is a person of good moral character; twenty-one years or older, has been engaged in such practice in the state for at least two years in accordance with regulations of the commissioner of education, and possesses

(a) the American Speech-Language-Hearing Association certificate of clinical competence in speech-language pathology and/or audiology, or the equivalent thereof as determined by the board in accordance with the commissioner's regulations; or

(b) a masters degree in speech-language pathology, audiology or communication disorders appropriate to the license being sought and a total of five years experience; or

(c) a bachelors degree in speech-language pathology, audiology or communication disorders appropriate to the license being sought and thirty postgraduate semester hours in subjects satisfactory to the board and a total of five years experience; or

(d) a bachelors degree and sufficient postgraduate study to be the equivalent of a masters degree in speech-language pathology, audiology or communication disorders as determined by the board in accordance with the commisioner's regulations and a total of five years experience.

Applications for a license under this section shall be submitted by January first, nineteen hundred eighty and aplicants shall have until that date to fulfill the requirements set forth by this chapter.

(2) This article shall not prohibit the practice of speech-language pathology or audiology by a corporation provided that such practice is carried on by a licensed speech-language pathologist or audiologist or persons exempt under this article and a violation of this provision shall be a class A misdemeanor.

(3) Any person or firm offering the services of a speech-language pathoiogist or audiologist shall employ only persons licensed or exempt under this article and a violation of this provision shall be a Class A misdemeanor.

# THE REGULATIONS OF THE COMMISSIONER OF EDUCATION
# PART 59
# GENERAL PROVISIONS

**Section 59.1 Applicability.** (a) As used in this Subchapter, license shall mean a permanent authorization, issued pursuant to Title VIII of the Education Law, to practice a profession or to use a professional title.

(b) The provisions of this Part shall apply to admission to the licensing examination and to the issuance of licenses in each of the professions supervised by the Board of Regents except as may otherwise be provided in this Subchapter with respect to specific professions.

**59.2 Education requirements.** (a) An applicant for a professional license shall satisfy all education requirements before being admitted to a professional licensing examination, except that the Committee on the Professions may accept professional examinations grades earned in another state or jurisdiction of the United States prior to completion of professional education if the applicant was licensed in that jurisdiction on the basis of said examination and both the grades and the examination satisfy requirements in this State. Education requirements for a professional license shall include any preprofessional education or experience required as a prerequisite for admission to a registered program of professional education. The department, in its discretion, may accept in satisfaction of a professional education requirement the completion of an approved or registered program or a program accredited by a professional accreditation organization acceptable to the department. The department, in its discretion, may also accept graduation by a transfer student from such a program provided such student has completed not less than the final year of professional education in such program subsequent to the date of approval, registration or accreditation of the program and approval of the accrediting organization by the department. The department may accept graduation by a transfer student from an unaccredited program of professional education provided such student satisfies the educational requirements of statute and regulation in accordance with this Part and as otherwise provided in this Subchapter with respect to the specific profession, and further provided that such student completes not less than the final year in the unaccredited program to which he has transferred.

(b) Education and experience required for the issuance of a license or limited permit shall have been performed in accordance with all requirements of the jurisdiction in which it took place. The department may require contemporaneous evidence of the education and/or experience required for the issuance of a license or limited permit.

**59.3 English proficiency requirement.** An applicant for licensure whose application is based upon credit granted for the completion of courses of study in a country where English is not the principal language spoken shall demonstrate proficiency in English by passing an examination in English proficiency acceptable to the department or by passing a licensing examination acceptable to the department given in English.

**59.4 Citizenship or immigration status requirements.** In those professions where citizenship or immigration status is required for licensure, an applicant shall submit evidence satisfactory to the department of compliance with such requirement.

**59.5 Professional examinations.** (a) The department may develop its own examinations or may select in whole or in part examinations developed or administered by other organizations. Unless specifically authorized by the department, no examination shall be deemed acceptable which has been used in its entirety during the five years previous to the current administration.

(b) Applications for admission to a licensing examination including all required fees shall be completed and filed not less than 60 days prior to the examination. When the department finds that the application is complete and that the requirements for admission to an examination have been met, it will issue to the applicant an admission card which will include the date, time and place of the examination and entitle the applicant to admission thereto. If an application is denied or withdrawn in a timely manner, an applicant may obtain a refund of the first registration fee and a partial refund not exceeding fifty percent of the licensing fee. A request for such a refund shall be made in writing. Re-application after re-

ceipt of a refund may be accepted only upon payment in full of all fees specified.

(c) For the purpose of identification for admission to the examination, the applicant shall present the current admission card with a photograph attached and, at the conclusion of the examination, return the card to the department representative conducting the examination. A candidate shall permit fingerprints to be taken during each part of an examination.

(d) Licensing examinations shall be held at times and places determined by the commissioner and conducted under the following conditions. Any candidate violating such conditions may be dismissed from the examination by the department representative, and the examination paper of such candidate shall be deemed a failure. At the discretion of the department, such candidate may be denied admission to subsequent licensing examinations.

(1) No candidate shall enter any examination more than 45 minutes after the scheduled admission time, nor shall any candidate leave the examination until 60 minutes have elapsed from the scheduled admission time. No candidate shall leave a department administered practical or clinical examination until dismissed by the chief examiner.

(2) Compensatory time may be granted candidates arriving late for an examination, at the discretion of the department.

(3) A candidate shall not obtain unauthorized possession of examination materials.

(4) During the examination, no candidate shall give or receive help, or communicate with any other candidate in any way, except upon the express permission of the department representative.

(5) A candidate shall bring into the examination room only such books and other materials as are indicated on the admission card and permitted by the department.

(6) A candidate shall not remove from the examination room any of the materials provided for an examination, and shall not reproduce or reconstruct any portion of the examination or answer paper, or aid in such reproduction or reconstruction by any means, unless authorized by the department. Such materials include examination booklets, individual examination questions, answer sheets or score sheets, instructions and any reference tables or papers which were provided by the department and which may have been used in the course of the examination.

(e) Papers will be scored and candidates notified of success or failure by the department or its designee. If the candidate has failed, the department will advise when and on what basis the candidate may be reexamined.

(f) The passing score in each component of each part of the licensing examination shall be determined as provided by law and shall be computed without rounding. Unless otherwise provided in the regulations pertaining to a specific profession, a candidate may retain credit for scores earned on examination parts passed for a period not to exceed five years from the examination date. A candidate who is reexamined in a part already passed shall not retain credit for such part from earlier examinations. In those professions which use national or regional examinations, this subdivision shall apply whether or not the examination is taken in the State of New York.

(g) In those professions where reviews of examination papers are permitted, candidates will be allowed to review only those parts of the examination which they failed with a score of 65 or higher, except in those professions which permit candidates to pass on average. A request for review of an examination paper or score may be made in writing to the executive secretary of the appropriate state board not later than 30 days after examination grades are released by the department. Such request shall include detailed reasons justifying the review requested. A candidate shall not remove from the reviewing site any of the materials provided for the review of an examination given previously, and shall not reproduce or reconstruct any portion of the examination or the answer paper, or aid in such reproduction or reconstruction by any means, unless authorized by the department. No one other than the candidate will be permitted access to examination materials. Where examinations are offered under contract with testing agencies, reviews shall be consistent with these contracts, where applicable. All reviews shall be conducted at sites selected and supervised by the department or an authorized testing agency.

(h) After July 1, 1984, an applicant who has been admitted to a professional licensing examination conducted by the department and subsequently fails to appear for such an examination twice shall forfeit any remaining fee credits for that examination. The applicant, upon subsequently applying for readmission to that examination, shall pay all required admission fees.

**59.6 License by endorsement.** An applicant for endorsement of a license issued by another jurisdiction shall establish that the applicant:

(a) meets all requirements of section 6506 (6) of the Education Law;

(b) has had satisfactory professional experience of at least two years following initial licensure, unless a different period is provided in the regulations pertaining to a particular profession;

(c) has not attempted unsuccessfully a licensing examination used by the State of New York either prior to or after making application for licensure by endorsement, unless such applicant has later passed a comparable licensing examination.

**59.7 Licenses and initial registrations.** When the candidate fulfills all requirements for licensure, the department shall issue a first registration certificate and a license. The first registration shall be for the remainder of the applicable registration period, except that those persons initially licensed during the last four months of a registration period shall be registered for the succeeding registration period without an additional fee.

**59.8 Registration for professional practice.** (a) Each licensee shall be responsible for registering with the department. Failure to register shall subject the practitioner to the late fee set forth in section 6502 (3) of the Education Law. Any practitioner who willfully refuses to register shall be subject to the penalties set forth in section 6511 of such law.

(b) A licensee not practicing or using a restricted title in New York State or an individual practicing only in a setting which is exempt from licensure in accordance with law may allow registration to lapse without being subject to the late fee set forth in section 6502 of the Education Law, by notifying the department of their cessation of practice or exemption in the state. At such time as the licensee may choose to resume practice or enter practice in a non-exempt setting in New York State, a registration certificate may be issued upon the filing of a proper application and the payment of the required registration fee.

(c) Registration certificates shall be conspicuously displayed by each licensee in each office in which the profession is practiced. In instances where licensees regularly practice at more than one professional office, registration certificates shall be obtained for each office bearing the licensee's name and the exact address of each such office upon making proper application to the department and submitting a fee. Where practice is carried on in other than individual offices, each licensee shall have a current registration certificate available for inspection at all times.

(d) Beginning dates for two-year (biennial) registration periods for each profession shall be as follows:

| Professions | Beginning Dates |
|---|---|
| Professional Engineering, Land Surveying, Massage | 11/1/80 |
| Acupuncture, Medicine, Pharmacy, Physical Therapy, Physical Therapist Assistant | 1/1/81 |
| Registered Professional Nursing | 9/1/81 |
| Chiropractic, Veterinary Medicine, Animal Health Technology, Physician's Assistant, Specialist's Assistant | 1/1/82 |
| Social Work | 3/1/82 |
| Occupational Therapy, Speech-Language Pathology, Audiology | 4/1/82 |
| Dentistry, Dental Hygiene | 5/1/82 |
| Optometry, Ophthalmic Dispensing, Certified Shorthand Reporting | 7/1/82 |
| Licensed Practical Nursing, Architecture, Landscape Architecture, Certified Public Accountant, Public Accountancy | 9/1/82 |
| Psychology | 5/1/83 |
| Podiatry | 9/1/83 |
| Occupational Therapy Assistant | 11/1/83 |

(e) Beginning dates for new three-year (triennial) registration periods shall be as follows:

| Professions | Beginning Dates |
|---|---|
| Professional Engineering, Land Surveying, Massage | 11/1/82 |

| | |
|---|---|
| Acupuncture, Medicine, Pharmacy, Physical Therapy, Physical Therapist Assistant | 1/1/83 |
| Registered Professional Nursing | 9/1/83 |
| Chiropractic, Veterinary Medicine, Animal Health Technology, Physician's Assistant, Specialist's Assistant | 1/1/84 |
| Social Work | 3/1/84 |
| Occupational Therapy, Speech-Language Pathology, Audiology | 4/1/84 |
| Dentistry, Dental Hygiene | 5/1/84 |
| Optometry, Ophthalmic Dispensing, Certified Shorthand Reporting | 7/1/84 |
| Licensed Practical Nursing, Architecture, Landscape Architecture, Certified Public Accountant, Public Accountancy | 9/1/84 |
| Psychology | 5/1/85 |
| Podiatry | 9/1/85 |
| Occupational Therapy Assistant | 11/1/85 |

(f) Each professional practitioner shall notify the department of any change of name or address in writing not later than 30 days after such change.

**59.9 Special service fees.** The department will charge the following fees for special services not otherwise provided by Education Law:

(a) for the issuance of a trainee permit in ophthalmic dispensing, $15;

(b) for certification of completion of pharmacy internship, $10;

(c) for admission to the fundamental theory section of the technical examination in landscape architecture, $50 and for each subsequent reexamination, $50, the remainder of the fee set forth in Section 7324 of the Education Law to be paid prior to admission to the remainder of the examination;

(d) for certification of licensure or examination grades to another jurisdiction, $20;

(e) for certification in acupuncture of a licensed physician or dentist, $50;

(f) for the issuance of an additional registration certificate, $5;

(g) for the issuance of a letter of eligibility to undertake clinical clerkships, $30;

(h) for the issuance of a Medical Sciences Knowledge Profile (MSKP) or satisfactory equivalent examination certificate to undertake clinical clerkships, $20; and

(i) certification of the rescoring or review by the department of an examination conducted by the department, $20.

**59.10 Professional service corporations.** (a) Applications to the State Education Department for the issuance of a certificate pursuant to Business Corporation Law section 1503 (b) (ii) shall be made by submitting to the department a fully executed certificate of incorporation which complies with the provisions of such section and of section 1512 of such law, and which sets forth or has annexed to it an affidavit of one of the original officers, directors or shareholders of the corporation setting forth the name of each individual who is to be one of the original shareholders, directors or officers of the corporation.

(b) If the name of a proposed professional service corporation contains the name of a deceased person, the certificate of incorporation, when submitted to the department for the issuance of a certificate pursuant to Business Corporation Law section 1503 (b) (ii) shall be accompanied by an affidavit of one of the subscribers to the certificate of incorporation establishing compliance with the provisions of Business Corporation Law section 1512 (a) (2).

(c) A certificate pursuant to Business Corporation Law section 1503 (b) (ii) may be issued when:

(1) the proposed name of the corporation appropriately describes the profession practiced and the services to be provided;

(2) if the proposed name of the corporation includes a reference to a specialized area of professional practice, satisfactory evidence is submitted of compliance with any provision of Part 29 of the Rules of the Board of Regents restricting or regulating the use of specialty titles or announcements of limitations of practice in the particular profession.

**FORM 1**

**SPEECH-LANGUAGE PATHOLOGY**

**ALL CANDIDATES MUST COMPLETE BOTH SIDES OF THIS APPLICATION**

The University of the State of New York
THE STATE EDUCATION DEPARTMENT
Division of Professional Licensing Services
Cultural Education Center
Albany, New York 12230

# APPLICATION FOR LICENSE AND FIRST REGISTRATION

**DEPARTMENT USE ONLY**

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N.Y.S. License Number

1. ☐☐☐–☐☐–☐☐☐☐ Social Security Number

2. ☐☐☐ First 3 letters of Last Name

3. BIRTH DATE ☐☐ mo. ☐☐ day ☐☐ yr.

4. PRINT FULL NAME EXACTLY AS YOU WISH IT TO APPEAR ON YOUR LICENSE, IF DETERMINED ELIGIBLE. (IMPORTANT: A request that contains only initials and the surname cannot be honored.)

Last ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

First ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Middle ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

5. ADDRESS

Care of ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Misc. (Bldg. & Apt., etc.) ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Street ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

City ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

State ☐☐ Zip Code ☐☐☐☐☐

6. TELEPHONE

At home ☐☐☐ – ☐☐☐☐☐☐☐ (area code / number)

At work ☐☐☐ – ☐☐☐☐☐☐☐ (area code / number)

The above address is: ☐ Permanent address of record. ☐ Temporary mailing address.

7. Name as it appears on degree or other credentials: _____

8. Have you previously applied for New York State professional licensure? ☐ Yes ☐ No
If "Yes", in what profession? _____

9. Have you ever been convicted of a crime (felony or misdemeanor) in any state or country? ☐ Yes ☐ No

10. Have you ever been charged with a crime (felony or misdemeanor), in any state or country, the disposition of which was other than by acquittal or dismissal? ☐ Yes ☐ No

11. Have you ever surrendered your license or been found guilty of professional misconduct, unprofessional conduct, incompetence or negligence in any state or country? ☐ Yes ☐ No

12. Are charges pending against you for professional misconduct, unprofessional conduct, incompetence or negligence in any state or country? ☐ Yes ☐ No

13. Has any hospital or licensed facility restricted or terminated your professional training, employment, or privileges or have you ever voluntarily or involuntarily resigned or withdrawn from such association to avoid imposition of such measures? ☐ Yes ☐ No

- If the answer to any of questions 9-13 is "Yes," submit a letter giving complete explanation, include copies of any court records, and if you possess one, a copy of the "Certificate of Relief from Disabilities" or your "Certification of Good Conduct."

November 1988

• COMPLETE OTHER SIDE •

13. In the spaces below, give an accurate record of your education preparation.

| Name of Schools Attended and Locations | NUMBER OF YEARS ATTENDED | ATTENDANCE | | | Diploma or degree obtained (If school is located outside the United States, refer to Section V, D.) |
|---|---|---|---|---|---|
| | | Grade Completed | Entrance Date | Leaving Date | |
| **Elementary or Primary School** | | | | | |
| **High School or Secondary School** | | | | | |
| **Postsecondary School(s) including Preprofessional and professional education program** | | | | | |
| **Special Professional Qualifications** (List any certificates or attainments with specific dates.) | | | | | |

**AFFIDAVIT**

I hereby certify that I have read the statutory provisions, Rules of the Board of Regents and Regulations of the Commissioner of Education distributed to me by the State Education Department.

Under penalties of perjury, I declare and affirm that the statements made in this application, including accompanying statements and transcripts are true, complete and correct. I understand that any false or misleading information in, or in connection with my application may be cause for denial or loss of licensure.

_____ Signature of Candidate

_____ Date

• DO NOT STAPLE •

IN THIS SPACE
SECURELY ATTACH
PHOTOGRAPH TAKEN
WITHIN PAST YEAR

Write signature on light portion of photograph, not across features.

Date of Photograph _____

**SEE SUMMARY OF REQUIREMENTS FOR FILING FEES AND ADDITIONAL INSTRUCTIONS**

**FORM 1D**

**AUDIOLOGY**

The University of the State of New York
THE STATE EDUCATION DEPARTMENT
Office of the Professions
Division of Professional Licensing Services

**CANDIDATE DATA RECORD**
**GENDER AND ETHNICITY**

## ALL CANDIDATES ARE REQUESTED TO COMPLETE THIS FORM

The New York State Board of Regents and the State Education Department are committed to equal opportunity and racial justice. To achieve these goals, legislation has been enacted which calls for specific efforts in eradicating the present effects of past discrimination. The Regents and the State Education Department endorse a coordinated and comprehensive effort involving the sustained efforts of the educational system, professional boards, professional associations and societies, government, industry, parent groups, and individual professionals. In pursuit of those efforts, the Legislature has authorized and required the Commissioner of Education to collect and analyze data concerning representation in the licensed professions.

The ethnic and gender data you provide will be used only for statistical, research, and program evaluation purposes pertaining to the study of representation in the licensed professions. It will not be made part of your licensure file and will not be released to anyone. While the provision of this information is voluntary on your part, our statutory responsibilities contemplate the collection of reliable information and we therefore encourage you to participate.

If you are interested in learning more about or participating in state and local minority access projects you may contact the Executive Secretary for the State Board of your profession.

### INSTRUCTIONS FOR COMPLETING AND SUBMITTING THIS FORM:

1. **Complete Items 1-5 by printing all information clearly in the boxes provided.**
2. **Complete Items 6 & 7 by placing an (X) in the appropriate box.**
3. **Return this form to: Division of Professional Licensing Services, Audiology Unit, Cultural Education Center, Albany, New York 12230.**

1. ☐☐☐ – ☐☐ – ☐☐☐☐ **Social Security Number**

2. ☐☐☐ **First 3 letters of Last Name**

3. **BIRTH DATE** ☐☐ ☐☐ ☐☐ **mo. day yr.**

4. PRINT FULL NAME AS IT APPEARS ON YOUR APPLICATION.

Last ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

First ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Middle ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

5. ADDRESS

Misc. (Bldg. & Apt., etc.) ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Street ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

City ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

State ☐☐☐☐☐☐☐☐☐☐☐☐☐☐ Zip Code ☐☐☐☐☐

6. GENDER ☐ Male (M) ☐ Female (F)

7. ETHNICITY ☐ American Indian or Alaskan Native (I) ☐ Asian or Pacific Islander (A) ☐ Hispanic (H) ☐ Black (not Hispanic) (B) ☐ Caucasian/White (not Hispanic) (W)

November 1988

**FORM 2R**

**SPEECH-LANGUAGE PATHOLOGY**

The University of the State of New York
THE STATE EDUCATION DEPARTMENT
Division of Professional Licensing Services

# CERTIFICATION OF PROFESSIONAL EDUCATION: NEW YORK STATE REGISTERED AS LICENSURE QUALIFYING OR AMERICAN SPEECH-LANGUAGE-HEARING ASSOCIATION ACCREDITED (ASHA) AND VERIFICATION OF CLINICAL PRACTICUM

## CANDIDATE INSTRUCTION

1. **Complete Section 1. Enter your name as it appears on your application (Form 1).**
2. **Send this form to the professional school you attended. See "Licensure Requirements" for additional instructions. Be sure to include any fee required by the school.**
3. **To be used only for candidates holding the masters degree from a program registered as licensure qualifying by the New York State Education Department or accredited by the American Speech-Language-Hearing Association (ASHA). The accreditation must be in the area for which licensure is sought.**

   **A list of registered and accredited programs is included in this handbook for your reference.**

## SECTION I: CANDIDATE INFORMATION

1. ☐☐☐ – ☐☐ – ☐☐☐☐ **Social Security Number**

2. ☐☐☐ **First 3 letters of Last Name**

3. **BIRTH DATE** ☐☐ ☐☐ ☐☐ **mo. day yr.**

4. PRINT FULL NAME EXACTLY AS YOU WISH IT TO APPEAR ON YOUR LICENSE, IF DETERMINED ELIGIBLE. (IMPORTANT: A request that contains only initials and the surname cannot be honored.)

Last ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

First ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Middle ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

5. ADDRESS

Misc. (Bldg. & Apt., etc.) ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Street ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

City ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

State ☐☐☐☐☐☐☐☐☐☐☐☐☐☐ Zip Code ☐☐☐☐☐

6. Print name under which degree was awarded: _____

7. Undergraduate School Attended: _____

8. Professional School Attended: _____

Address: _____ Date degree was awarded: _____

• CERTIFICATION BY PROFESSIONAL SCHOOL OFFICIAL •
IS TO BE MADE ON REVERSE SIDE

November 1988

## SECTION II: CERTIFICATION OF EDUCATION

**INSTRUCTION TO SCHOOL:** Please complete this form and sign the certifying statement. If necessary forward to Registrar to affix seal. This form must be returned directly to the Division of Professional Licensing Services. **This form will not be accepted if returned by the candidate.**

1. Name of Candidate: _____
(See Number 6 Reverse Side)

2. Has completed undergraduate study at _____
(Name of School)
and received the degree of _____ on _____.
(Name of Degree) (Date)

3. Has graduated from the curriculum in Audiology accredited by the American Speech-Language-Hearing Association (ASHA) or registered by the New York State Education Department as licensure qualifying on _____ with the degree of _____.
(Date)

4. Has completed at least 300 clock hours of supervised clinical practicum of which a minimum of 150 clock hours has been at the graduate level; further a minimum of 200 clock hours has been completed in Audiology. The practicum was completed on _____.
(Date)

**I hereby certify that to the best of my knowledge and belief the foregoing is a true statement of the record of the individual named on this form.**

(SEAL)

Signature _____

Print Name _____

Title _____

Institution _____

Address _____

Date _____

• **RETURN TO:** Division of Professional Licensing Services, Audiology Unit, Cultural Education Center, Albany, New York 12230.

**FORM 2N**

**AUDIOLOGY**

The University of the State of New York
THE STATE EDUCATION DEPARTMENT
Division of Professional Licensing Services

# CERTIFICATION OF PROFESSIONAL EDUCATION: PROGRAMS NOT REGISTERED BY THE NEW YORK STATE EDUCATION DEPARTMENT AS LICENSURE QUALIFYING NOR ACCREDITED BY THE AMERICAN SPEECH-LANGUAGE-HEARING ASSOCIATION (ASHA) AND VERIFICATION OF CLINICAL PRACTICUM

## CANDIDATE INSTRUCTION

1. **Complete Section 1. Enter your name as it appears on your application (Form 1).**
2. **Send this form to the school you attended and instruct them to complete the appropriate section of the form. Also, request that an official transcript be attached to this form. See "Licensure Requirements" for additional instructions. Be sure to include any fee required by the school.**
3. **To be completed only for candidates holding the masters degree from a program not registered as licensure qualifying by the New York State Education Department and not accredited by the American Speech-Language-Hearing Association.**

## SECTION I: CANDIDATE INFORMATION

1. ☐☐☐ – ☐☐ – ☐☐☐☐ **Social Security Number**

2. ☐☐☐ **First 3 letters of Last Name**

3. **BIRTH DATE** ☐☐ ☐☐ ☐☐ **mo. day yr.**

4. PRINT FULL NAME EXACTLY AS YOU WISH IT TO APPEAR ON YOUR LICENSE, IF DETERMINED ELIGIBLE. (IMPORTANT: A request that contains only initials and the surname cannot be honored.)

Last ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

First ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Middle ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

5. ADDRESS

Misc. (Bldg. & Apt., etc.) ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Street ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

City ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

State ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐ Zip Code ☐☐☐☐☐

6. Print name under which degree was awarded: _____

7. Secondary School Attended: _____

8. Undergraduate School Attended: _____

9. Professional School Attended: _____

Address: _____ Date degree was awarded: _____

10. Title of Degree: _____

**• CERTIFICATION BY PROFESSIONAL SCHOOL OFFICIAL •**
**IS TO BE MADE ON REVERSE SIDE**

November 1988

## SECTION II: CERTIFICATION OF EDUCATION

**INSTRUCTION TO SCHOOL:** Please complete Part A, Part B and Part C and, if necessary, forward to school Registrar to affix seal and attach official school transcript. Return this form directly to the Division of Professional Licensing Services. **This form will not be accepted if incomplete or if returned by the candidate.**

### PART A

1. Name of Candidate: _____
   (See Number 6 Reverse Side)
2. School:
   (a) Name _____
   (b) Address _____
   (Street) (City) (State) (Country)

### PART B

Information below must be completed. An official transcript must be attached to this form.

| NUMBER OF SUPERVISED PRACTICUM HOURS | | | | | |
|---|---|---|---|---|---|
| | Under-graduate | Graduate | Name of Supervisor | Area in which supervisor is ASHA certified | Area in which supervisor is licensed |
| Articulation | | | | | |
| Voice | | | | | |
| Fluency | | | | | |
| Language | | | | | |
| Diagnosis | | | | | |
| Auditory Assessment | | | | | |
| Aural Rehabilitation | | | | | |
| Total | | | | | |

The practicum was completed on: _____
(Date)

### PART C

**I hereby certify that to the best of my knowledge and belief the foregoing is a true statement of the record of the individual named on this form.**

(SEAL)

Signature _____

Print Name _____

Title _____

Institution _____

Address _____

Date _____

- **RETURN THIS FORM WITH TRANSCRIPT TO:** Division of Professional Licensing Services, Speech-Language Pathology Unit, Cultural Education Center, Albany, New York 12230.

FORM 1

AUDIOLOGY

The University of the State of New York
THE STATE EDUCATION DEPARTMENT
Division of Professional Licensing Services
Cultural Education Center
Albany, New York 12230

DEPARTMENT USE ONLY

5 7

2 3 5

E R

N.Y.S. License Number

ALL CANDIDATES MUST COMPLETE BOTH SIDES OF THIS APPLICATION

# APPLICATION FOR LICENSE AND FIRST REGISTRATION

1. ___-___-____ Social Security Number

2. ___ First 3 letters of Last Name

3. BIRTH DATE ___ mo. day yr.

4. PRINT FULL NAME EXACTLY AS YOU WISH IT TO APPEAR ON YOUR LICENSE, IF DETERMINED ELIGIBLE. (IMPORTANT: A request that contains only initials and the surname cannot be honored.)

Last

First

Middle

5. ADDRESS

Care of

Misc. (Bldg. & Apt., etc.)

Street

City

State Zip Code

6. TELEPHONE

At home ___-___ area code number

At work ___-___ area code number

The above address is: ☐ Permanent address of record. ☐ Temporary mailing address.

7. Name as it appears on degree or other credentials: _____

8. Have you previously applied for New York State professional licensure? ☐ Yes ☐ No

If "Yes", in what profession? _____

9. Have you ever been convicted of a crime (felony or misdemeanor) in any state or country? ☐ Yes ☐ No

10. Have you ever been charged with a crime (felony or misdemeanor), in any state or country, the disposition of which was other than by acquittal or dismissal? ☐ Yes ☐ No

11. Have you ever surrendered your license or been found guilty of professional misconduct, unprofessional conduct, incompetence or negligence in any state or country? ☐ Yes ☐ No

12. Are charges pending against you for professional misconduct, unprofessional conduct, incompetence or negligence in any state or country? ☐ Yes ☐ No

13. Has any hospital or licensed facility restricted or terminated your professional training, employment, or privileges or have you ever voluntarily or involuntarily resigned or withdrawn from such association to avoid imposition of such measures? ☐ Yes ☐ No

- If the answer to any of questions 9-13 is "Yes," submit a letter giving complete explanation, include copies of any court records, and if you possess one, a copy of the "Certificate of Relief from Disabilities" or your "Certification of Good Conduct."

November 1988

• COMPLETE OTHER SIDE •

13. In the spaces below, give an accurate record of your education preparation.

| Name of Schools Attended and Locations | NUMBER OF YEARS ATTENDED | ATTENDANCE | | | Diploma or degree obtained (If school is located outside the United States, refer to Section V, D.) |
|---|---|---|---|---|---|
| | | Grade Completed | Entrance Date | Leaving Date | |
| **Elementary or Primary School** | | | | | |
| **High School or Secondary School** | | | | | |
| **Postsecondary School(s) including Preprofessional and professional education program** | | | | | |
| **Special Professional Qualifications** (List any certificates or attainments with specific dates.) | | | | | |

AFFIDAVIT

I hereby certify that I have read the statutory provisions, Rules of the Board of Regents and Regulations of the Commissioner of Education distributed to me by the State Education Department.

Under penalties of perjury, I declare and affirm that the statements made in this application, including accompanying statements and transcripts are true, complete and correct. I understand that any false or misleading information in, or in connection with my application may be cause for denial or loss of licensure.

_____ _____

Signature of Candidate Date

• DO NOT STAPLE •

IN THIS SPACE
SECURELY ATTACH
PHOTOGRAPH TAKEN
WITHIN PAST YEAR

Write signature on light portion of photograph, not across features.

Date of Photograph _____

SEE SUMMARY OF REQUIREMENTS FOR FILING FEES AND ADDITIONAL INSTRUCTIONS

**FORM 1D**

**AUDIOLOGY**

The University of the State of New York
THE STATE EDUCATION DEPARTMENT
Office of the Professions
Division of Professional Licensing Services

**CANDIDATE DATA RECORD**
**GENDER AND ETHNICITY**

## ALL CANDIDATES ARE REQUESTED TO COMPLETE THIS FORM

The New York State Board of Regents and the State Education Department are committed to equal opportunity and racial justice. To achieve these goals, legislation has been enacted which calls for specific efforts in eradicating the present effects of past discrimination. The Regents and the State Education Department endorse a coordinated and comprehensive effort involving the sustained efforts of the educational system, professional boards, professional associations and societies, government, industry, parent groups, and individual professionals. In pursuit of those efforts, the Legislature has authorized and required the Commissioner of Education to collect and analyze data concerning representation in the licensed professions.

The ethnic and gender data you provide will be used only for statistical, research, and program evaluation purposes pertaining to the study of representation in the licensed professions. It will not be made part of your licensure file and will not be released to anyone. While the provision of this information is voluntary on your part, our statutory responsibilities contemplate the collection of reliable information and we therefore encourage you to participate.

If you are interested in learning more about or participating in state and local minority access projects you may contact the Executive Secretary for the State Board of your profession.

### INSTRUCTIONS FOR COMPLETING AND SUBMITTING THIS FORM:

1. **Complete Items 1-5 by printing all information clearly in the boxes provided.**
2. **Complete Items 6 & 7 by placing an (X) in the appropriate box.**
3. **Return this form to: Division of Professional Licensing Services, Audiology Unit, Cultural Education Center, Albany, New York 12230.**

1. ☐☐☐ – ☐☐ – ☐☐☐☐ **Social Security Number**

2. ☐☐☐ **First 3 letters of Last Name**

3. **BIRTH DATE** ☐☐ ☐☐ ☐☐ **mo. day yr.**

4. PRINT FULL NAME AS IT APPEARS ON YOUR APPLICATION.

Last ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

First ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Middle ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

5. ADDRESS

Misc. (Bldg. & Apt., etc.) ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Street ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

City ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

State ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐ Zip Code ☐☐☐☐☐

6. GENDER ☐ Male (M) ☐ Female (F)

7. ETHNICITY ☐ American Indian or Alaskan Native (I) ☐ Asian or Pacific Islander (A) ☐ Black (not Hispanic) (B) ☐ Hispanic (H) ☐ Caucasian/White (not Hispanic) (W)

November 1988

**FORM 2R**

**AUDIOLOGY**

The University of the State of New York
THE STATE EDUCATION DEPARTMENT
Division of Professional Licensing Services

# CERTIFICATION OF PROFESSIONAL EDUCATION: NEW YORK STATE REGISTERED AS LICENSURE QUALIFYING OR AMERICAN SPEECH-LANGUAGE-HEARING ASSOCIATION ACCREDITED (ASHA) AND VERIFICATION OF CLINICAL PRACTICUM

## CANDIDATE INSTRUCTION

1. **Complete Section 1. Enter your name as it appears on your application (Form 1).**
2. **Send this form to the professional school you attended. See "Licensure Requirements" for additional instructions. Be sure to include any fee required by the school.**
3. **To be used only for candidates holding the masters degree from a program registered as licensure qualifying by the New York State Education Department or accredited by the American Speech-Language-Hearing Association (ASHA). The accreditation must be in the area for which licensure is sought.**

   **A list of registered and accredited programs is included in this handbook for your reference.**

## SECTION I: CANDIDATE INFORMATION

1. ☐☐☐ – ☐☐ – ☐☐☐☐ **Social Security Number**

2. ☐☐☐ **First 3 letters of Last Name**

3. **BIRTH DATE** ☐☐ ☐☐ ☐☐ **mo. day yr.**

4. PRINT FULL NAME EXACTLY AS YOU WISH IT TO APPEAR ON YOUR LICENSE, IF DETERMINED ELIGIBLE. (IMPORTANT: A request that contains only initials and the surname cannot be honored.)

Last ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

First ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Middle ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

5. ADDRESS

Misc. (Bldg. & Apt., etc.) ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

Street ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

City ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

State ☐☐☐☐☐☐☐☐☐☐☐☐☐☐ Zip Code ☐☐☐☐☐

6. Print name under which degree was awarded: _____

7. Undergraduate School Attended: _____

8. Professional School Attended: _____

Address: _____ Date degree was awarded: _____

• CERTIFICATION BY PROFESSIONAL SCHOOL OFFICIAL •
IS TO BE MADE ON REVERSE SIDE

November 1988

**SECTION II: CERTIFICATION OF EDUCATION**

**INSTRUCTION TO SCHOOL:** Please complete this form and sign the certifying statement. If necessary forward to Registrar to affix seal. This form must be returned directly to the Division of Professional Licensing Services. **This form will not be accepted if returned by the candidate.**

1. Name of Candidate: _____
(See Number 6 Reverse Side)

2. Has completed undergraduate study at _____
(Name of School)
and received the degree of _____ on _____.
(Name of Degree) (Date)

3. Has graduated from the curriculum in Audiology accredited by the American Speech-Language-Hearing Association (ASHA) or registered by the New York State Education Department as licensure qualifying on _____ with the degree of _____.
(Date)

4. Has completed at least 300 clock hours of supervised clinical practicum of which a minimum of 150 clock hours has been at the graduate level; further a minimum of 200 clock hours has been completed in Audiology. The practicum was completed on _____.
(Date)

**I hereby certify that to the best of my knowledge and belief the foregoing is a true statement of the record of the individual named on this form.**

(SEAL)

Signature _____

Print Name _____

Title _____

Institution _____

Address _____

Date _____

• **RETURN TO:** Division of Professional Licensing Services, Audiology Unit, Cultural Education Center, Albany, New York 12230.

**FORM 2N**

**AUDIOLOGY**

The University of the State of New York
THE STATE EDUCATION DEPARTMENT
Division of Professional Licensing Services

# CERTIFICATION OF PROFESSIONAL EDUCATION: PROGRAMS NOT REGISTERED BY THE NEW YORK STATE EDUCATION DEPARTMENT AS LICENSURE QUALIFYING NOR ACCREDITED BY THE AMERICAN SPEECH-LANGUAGE-HEARING ASSOCIATION (ASHA) AND VERIFICATION OF CLINICAL PRACTICUM

## CANDIDATE INSTRUCTION

1. **Complete Section 1. Enter your name as it appears on your application (Form 1).**
2. **Send this form to the school you attended and instruct them to complete the appropriate section of the form. Also, request that an official transcript be attached to this form. See "Licensure Requirements" for additional instructions. Be sure to include any fee required by the school.**
3. **To be completed only for candidates holding the masters degree from a program not registered as licensure qualifying by the New York State Education Department and not accredited by the American Speech-Language-Hearing Association.**

## SECTION I: CANDIDATE INFORMATION

1. ☐☐☐ – ☐☐ – ☐☐☐☐ **Social Security Number**

2. ☐☐☐ **First 3 letters of Last Name**

3. **BIRTH DATE** ☐☐ ☐☐ ☐☐ **mo. day yr.**

4. PRINT FULL NAME EXACTLY AS YOU WISH IT TO APPEAR ON YOUR LICENSE, IF DETERMINED ELIGIBLE. (IMPORTANT: A request that contains only initials and the surname cannot be honored.)

Last ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

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Middle ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

5. ADDRESS

Misc. (Bldg. & Apt., etc.) ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

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State ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐ Zip Code ☐☐☐☐☐

6. Print name under which degree was awarded: _____

7. Secondary School Attended: _____

8. Undergraduate School Attended: _____

9. Professional School Attended: _____

Address: _____ Date degree was awarded: _____

10. Title of Degree: _____

**• CERTIFICATION BY PROFESSIONAL SCHOOL OFFICIAL •**
**IS TO BE MADE ON REVERSE SIDE**

November 1988

## SECTION II: CERTIFICATION OF EDUCATION

**INSTRUCTION TO SCHOOL:** Please complete Part A, Part B and Part C and, if necessary, forward to school Registrar to affix seal and attach official school transcript. Return this form directly to the Division of Professional Licensing Services. **This form will not be accepted if incomplete or if returned by the candidate.**

### PART A

1. Name of Candidate: _____
   (See Number 6 Reverse Side)
2. School:
   (a) Name _____
   (b) Address _____
   (Street) (City) (State) (Country)

### PART B

Information below must be completed. An official transcript must be attached to this form.

| NUMBER OF SUPERVISED PRACTICUM HOURS | | | | | |
|---|---|---|---|---|---|
| | Under-graduate | Graduate | Name of Supervisor | Area in which supervisor is ASHA certified | Area in which supervisor is licensed |
| Articulation | | | | | |
| Voice | | | | | |
| Fluency | | | | | |
| Language | | | | | |
| Diagnosis | | | | | |
| Auditory Assessment | | | | | |
| Aural Rehabilitation | | | | | |
| Total | | | | | |
| The practicum was completed on: _____ (Date) | | | | | |

### PART C

**I hereby certify that to the best of my knowledge and belief the foregoing is a true statement of the record of the individual named on this form.**

(SEAL)

Signature _____

Print Name _____

Title _____

Institution _____

Address _____

Date _____

- **RETURN THIS FORM WITH TRANSCRIPT TO:** Division of Professional Licensing Services, Audiology Unit, Cultural Education Center, Albany, New York 12230.

**FORM 3**

**AUDIOLOGY**

The University of the State of New York
THE STATE EDUCATION DEPARTMENT
Division of Professional Licensing Services

# IDENTIFICATION OF SUPERVISOR AND SETTING

## CANDIDATE INSTRUCTION

1. **Complete Section I. Enter your name as it appears on your application (Form 1).**
2. **Section II is to be completed by the Supervisor and submitted at the beginning of the supervised experience. The form should be sent to the address on the reverse side.**

## SECTION I: CANDIDATE INFORMATION

1. ☐☐☐ – ☐☐ – ☐☐☐☐ **Social Security Number**

2. ☐☐☐ **First 3 letters of Last Name**

3. **BIRTH DATE** ☐☐ ☐☐ ☐☐ **mo. day yr.**

4. PRINT FULL NAME EXACTLY AS YOU WISH IT TO APPEAR ON YOUR LICENSE, IF DETERMINED ELIGIBLE. (IMPORTANT: A request that contains only initials and the surname cannot be honored.)

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City ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

State ☐☐☐☐☐☐☐☐☐☐☐☐☐☐ Zip Code ☐☐☐☐☐

## SECTION II: IDENTIFICATION OF THE SUPERVISOR

Name: _____

Address: _____

_____ Phone: _____

Business Address: _____

Professional Title: _____ Phone: _____

Credentials:

New York State license awarded:

Audiology - Number _____

Certificates awarded:

☐ CCC Audiology

November 1988

Are you employed at the same place of employment as the candidate? ☐ Yes ☐ No

If so, how many hours per week are you employed there? _____

Administrator to whom candidate is responsible:

Name: _____ Title: _____

If employer is other than the supervisor, please complete the following:

As employer of the candidate, I agree to have the following plan of supervision:

_____ _____ _____

Signature Title Date

A. SETTING FOR CANDIDATE'S EXPERIENCE:

1. Name: _____
2. Address: _____
3. Nature of Setting (e.g., public school system, hospital, State mental hygiene facility): _____

_____

4. Sites: Single Site _____ Multiple Site _____ - If multiple, please list address of each site on a separate sheet of paper.

B. SCOPE OF CANDIDATE'S EXPERIENCE:

1. Beginning date of supervised period: _____ Ending date of supervised period: _____
2. Average hours per week worked by applicant: _____

C. NATURE OF CANDIDATE'S EXPERIENCE:

Indicate the anticipated hours per week of the applicant's time that will be devoted to various activities and the hours per week of supervision that you will provide:

| CANDIDATE ACTIVITY | Hrs. per week | SUPERVISORY ACTIVITY Direct Observation Hrs. per week |
|---|---|---|
| 1. Diagnosis, Evaluation and Testing | _____ | _____ |
| 2. Screening | _____ | _____ |
| 3. Habilitation and Rehabilitation | _____ | _____ |
| 4. Consultation and Conference | _____ | _____ |
| 5. Staff Meeting and Licensure | _____ | _____ |
| 6. Instruction | _____ | _____ |
| 7. Preparation and Record keeping | _____ | _____ |
| 8. Other (Specify) _____ | _____ | _____ |
| TOTAL | _____ | _____ |

D. CANDIDATE AND SUPERVISOR CERTIFICATION OF AGREEMENT TO THE PLAN FOR SUPERVISION:

_____ _____

Signature of Candidate Signature of Supervisor

_____ _____

Date Date

• **RETURN TO:** Division of Professional Licensing Services, Audiology Unit, Cultural Education Center, Albany, New York 12230.

**FORM 4**

**AUDIOLOGY**

The University of the State of New York
THE STATE EDUCATION DEPARTMENT
Division of Professional Licensing Services

# RECORD OF SUPERVISED EXPERIENCE

## CANDIDATE INSTRUCTION

1. **Complete Section I. Enter your name as it appears on your application (Form 1).**
2. **Section II is to be completed by the Supervisor and submitted at the end of the supervised experience. The form should be sent to the address on the reverse side.**

## SECTION I: CANDIDATE INFORMATION

1. ☐☐☐ – ☐☐ – ☐☐☐☐ **Social Security Number**

2. ☐☐☐ **First 3 letters of Last Name**

3. **BIRTH DATE** ☐☐ ☐☐ ☐☐ **mo. day yr.**

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City ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐

State ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐ Zip Code ☐☐☐☐☐

## SECTION II: This form will not be accepted if incomplete or if returned by the candidate.

Name of Supervisor: _____

Duration of Supervised Experience: Beginning _____ (Day / Month / Year) Ending _____ (Day / Month / Year)

Total Months: _____

November 1988

A. Complete the chart below only if significant changes have taken place in the candidate's supervisory plan originally described on Form 3.

| CANDIDATE ACTIVITY | Hrs. per week | SUPERVISORY ACTIVITY Direct Observation Hrs. per week |
|---|---|---|
| 1. Diagnosis, Evaluation and Testing | _____ | _____ |
| 2. Screening | _____ | _____ |
| 3. Habilitation and Rehabilitation | _____ | _____ |
| 4. Consultation and Conference | _____ | _____ |
| 5. Staff Meeting and Licensure | _____ | _____ |
| 6. Instruction | _____ | _____ |
| 7. Preparation and Record keeping | _____ | _____ |
| 8. Other (Specify) _____ | _____ | _____ |
| TOTAL | _____ | _____ |

B. Verification of Professional Experience:

As supervisor of the applicant, I verify that I am familiar with the guidelines for professional competence listed below and that I have used them, as appropriate, in supervising.

Guidelines for Professional Competence

Professional General:

1. The candidate demonstrates ability to communicate effectively.
2. The candidate demonstrates understanding of human growth and development.
3. The candidate demonstrates professional responsibility and conduct.
4. The candidate displays understanding of the roles and responsibilities of other professionals and the importance of interdisciplinary co-operation.

Professional Specific:

1. The candidate applies a functional understanding of communication development in the delivery of clinical services.
2. The candidate uses appropriate, representative methods and materials in diagnosis/assessment.
3. The candidate effectively uses appropriate equipment in diagnosis/assessment and treatment/remediation.
4. The candidate plans, organizes, and implements an effective and efficient treatment/remediation program.
5. The candidate displays a fundamental knowledge of the principles underlying the treatment/remediation of communication disorders, uses appropriate methods and techniques in the provision of services, and maintains appropriate records.

C. Evaluation:

1. _____ has successfully completed a supervised experience as described herein.
Name of Candidate

_____ _____
Signature of Supervisor Date

2. Comments: (Please attach a separate sheet, if additional space is needed) _____

_____

3. Under penalties of perjury, I declare that I have completed the above form and that the statements made are true, complete, and correct.

_____ _____
Signature Date

• **RETURN TO:** Division of Professional Licensing Services, Audiology Unit, Cultural Education Center, Albany, New York 12230.

**FORM 3**

**SPEECH-LANGUAGE PATHOLOGY**

The University of the State of New York
THE STATE EDUCATION DEPARTMENT
Division of Professional Licensing Services

## IDENTIFICATION OF SUPERVISOR AND SETTING

### CANDIDATE INSTRUCTION

1. **Complete Section I. Enter your name as it appears on your application (Form 1).**
2. **Section II is to be completed by the Supervisor and submitted at the beginning of the supervised experience. The form should be sent to the address on the reverse side.**

### SECTION I: CANDIDATE INFORMATION

1. ☐☐☐ – ☐☐ – ☐☐☐☐ **Social Security Number**

2. ☐☐☐ **First 3 letters of Last Name**

3. **BIRTH DATE** ☐☐☐☐☐☐ **mo. day yr.**

4. PRINT FULL NAME EXACTLY AS YOU WISH IT TO APPEAR ON YOUR LICENSE, IF DETERMINED ELIGIBLE. (IMPORTANT: A request that contains only initials and the surname cannot be honored.)

Last ☐☐☐☐☐☐

Are you employed at the same place of employment as the candidate? ☐ Yes ☐ No

If so, how many hours per week are you employed there? _____

Administrator to whom candidate is responsible:

Name: _____ Title: _____

If employer is other than the supervisor, please complete the following:

As employer of the candidate, I agree to have the following plan of supervision:

_____ _____ _____

Signature Title Date

A. SETTING FOR CANDIDATE'S EXPERIENCE:

1. Name: _____
2. Address: _____
3. Nature of Setting (e.g., public school system, hospital, State mental hygiene facility): _____
4. Sites: Single Site _____ Multiple Site _____ - If multiple, please list address of each site on a separate sheet of paper.

B. SCOPE OF CANDIDATE'S EXPERIENCE:

1. Beginning date of supervised period: _____ Ending date of supervised period: _____
2. Average hours per week worked by applicant: _____

C. NATURE OF CANDIDATE'S EXPERIENCE:

Indicate the anticipated hours per week of the applicant's time that will be devoted to various activities and the hours per week of supervision that you will provide:

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| 7. Preparation and Record keeping | _____ | _____ |
| 8. Other (Specify) _____ | _____ | _____ |
| TOTAL | _____ | _____ |

D. CANDIDATE AND SUPERVISOR CERTIFICATION OF AGREEMENT TO THE PLAN FOR SUPERVISION:

_____ _____

Signature of Candidate Signature of Supervisor

_____ _____

Date Date

• **RETURN TO:** Division of Professional Licensing Services, Audiology Unit, Cultural Education Center, Albany, New York 12230.

**FORM 4**

**AUDIOLOGY**

The University of the State of New York
THE STATE EDUCATION DEPARTMENT
Division of Professional Licensing Services

# RECORD OF SUPERVISED EXPERIENCE

## CANDIDATE INSTRUCTION

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State ☐☐☐☐☐☐☐☐☐☐☐☐☐☐ Zip Code ☐☐☐☐☐

## SECTION II: This form will not be accepted if incomplete or if returned by the candidate.

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Duration of Supervised Experience: Beginning _____ (Day / Month / Year) Ending _____ (Day / Month / Year)

Total Months: _____

November 1988

A. Complete the chart below only if significant changes have taken place in the candidate's supervisory plan originally described on Form 3.

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|---|---|---|
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| 3. Habilitation and Rehabilitation | | |
| 4. Consultation and Conference | | |
| 5. Staff Meeting and Licensure | | |
| 6. Instruction | | |
| 7. Preparation and Record keeping | | |
| 8. Other (Specify) _____ | | |
| TOTAL | | |

B. Verification of Professional Experience:

As supervisor of the applicant, I verify that I am familiar with the guidelines for professional competence listed below and that I have used them, as appropriate, in supervising.

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3. The candidate demonstrates professional responsibility and conduct.
4. The candidate displays understanding of the roles and responsibilities of other professionals and the importance of interdisciplinary co-operation.

Professional Specific:

1. The candidate applies a functional understanding of communication development in the delivery of clinical services.
2. The candidate uses appropriate, representative methods and materials in diagnosis/assessment.
3. The candidate effectively uses appropriate equipment in diagnosis/assessment and treatment/remediation.
4. The candidate plans, organizes, and implements an effective and efficient treatment/remediation program.
5. The candidate displays a fundamental knowledge of the principles underlying the treatment/remediation of communication disorders, uses appropriate methods and techniques in the provision of services, and maintains appropriate records.

C. Evaluation:

1. _____ has successfully completed a supervised experience as described herein.
Name of Candidate

_____ _____
Signature of Supervisor Date

2. Comments: (Please attach a separate sheet, if additional space is needed) _____

_____

3. Under penalties of perjury, I declare that I have completed the above form and that the statements made are true, complete, and correct.

_____ _____
Signature Date

• **RETURN TO:** Division of Professional Licensing Services, Speech-Language Pathology Unit, Cultural Education Center, Albany, New York 12230.

# PART 75
# SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY

**.75.1 Professional study of speech-language pathology and/or audiology.** (a) To meet the professional education requirement for admission to the licensing examination, the applicant shall present evidence of:

(1) the completion of a program in speech-language pathology and/or audiology registered by the department or determined by the department to be the equivalent of a registered program; and

(2) having received a master's degree in speech-language pathology or audiology, or the equivalent as determined by the department.

(b) To be considered the equivalent of a master's degree in speech-language pathology and/or audiology, the applicant's educational program must culminate in a master's degree from a college acceptable to the department and shall include a practicum and 60 semester hours of courses as specified in paragraphs (1) or (2) of this subdivision, of which not less than 30 semester hours shall have been at the graduate level.

(1) For speech-language pathology:

(i) Basic communication processes: 12 semester hours

(ii) Professional areas in speech-language pathology: 24 semester hours, 6 of which shall be in language

(iii) Professional areas in audiology: 6 semester hours

(iv) Additional courses in the above or related areas: 18 semester hours

(v) A practicum in speech-language pathology of not less than 300 clock hours under supervision, at least 150 clock hours of which shall be on the graduate level and at least 35 but not more than 50 of the 300 hours shall be in audiology.

(2) For audiology:

(i) Basic communication processes: 12 semester hours

(ii) Professional areas in audiology: 24 semester hours

(iii) Professional areas in speech-language pathology: 6 semester hours

(iv) Additional courses in the above or related areas: 18 semester hours

(v) A practicum in audiology of not less than 300 clock hours under supervision, at least 150 clock hours of which shall be on the graduate level and at least 35 but not more than 50 of the 300 hours shall be in speech-language pathology.

**75.2 Experience.** (a) An applicant for licensure shall have completed not less than nine months of supervised experience of a scope and nature satisfactory to the State Board for Speech-Language Pathology and Audiology after all requirements for the master's degree are completed.

(b) Duration. (1) Within any two-year period following completion of an educational program that meets the requirements of Section 75.1 of this Part, an applicant must accrue nine months of acceptable experience with not more than two employers.

(2) Credit toward the experience requirement may be given for part-time employment accumulated at the rate of not less than 2 days per week and consisting of not less than 15 hours per week for continuous periods of not less than 6 months.

(c) Supervision. (1) Supervision shall include meeting with and observing the applicant on a regular basis to review and evaluate the supervised experience and to foster professional development.

(2) Supervision shall be provided by the organization in which the applicant is working, and by an individual who is licensed in New York in the field for which the applicant seeks licensure, except that supervision of experience acquired in another state or in an exempt setting may be provided by a person holding the Certificate of Clinical Competence of the American Speech-Language Hearing Association.

**75.3 Examination.** The department may accept grades satisfactory to the State Board for Speech-Language Pathology and Audiology on the uniform examination in speech-language pathology and/or audiology of the American Speech-Language Hearing Association, which examination may be used in whole or in part.

# RULES OF THE BOARD OF REGENTS
# PART 17
# DISCIPLINARY PROCEEDINGS IN THE PROFESSIONS

Section 17.1 Complaints or other information
17.2 Investigation
17.3 Prosecution or settlement of disciplinary proceedings
17.4 Reports
17.5 Consent orders
17.6 Surrender of license
17.7 Violation of probation
17.8 Hearing panel; administrative officers
17.9 Summary suspensions

**Section 17.1 Complaints or other information.** All complaints or other information relating to licensees authorized to practice a profession under title VIII of the Education Law shall be referred to the executive director of the Office of Professional Discipline.

**17.2 Investigation.** The executive director of the Office of Professional Discipline or that officer's designee shall, in matters involving possible professional misconduct, initiate an investigation of each such complaint or other information.

**17.3 Prosecution or settlement of disciplinary proceedings.** Prosecution or settlement of disciplinary proceedings shall be conducted as provided in title VIII of the Education Law, and as provided in this Part.

**17.4 Reports.** The executive director of the Office of Professional Discipline or that officer's designee shall submit a report by April first of each year on the status of cases investigated during the previous year, as well as on the disposition of any criminal or civil matters processed through the office, to the chairman of the State Board for each of the professions supervised by the Board of Regents.

**17.5 Consent orders.** Disciplinary proceedings conducted pursuant to the provisions of title VIII of the Education Law may be disposed of in accordance with the following procedure:

(a) A licensee who is under investigation, or against whom charges have been voted, who admits guilt to at least one of the acts of misconduct alleged or charged, in full satisfaction of all allegations or charges, or who does not contest the allegations or charges or who cannot successfully defend against at least one of the acts of misconduct alleged or charged, shall notify the executive director of the Office of Professional Discipline or the director's designee.

(b) If the executive director of the Office of Professional Discipline or the director's designee, a designated member of the State Board for the applicable profession, and the licensee agree to a statement by the licensee admitting guilt to one or more of the allegations or charges or setting forth a decision not to contest the allegations or charges or stating that the licensee cannot successfully defend against such allegations or charges and agreeing to a proposed penalty, and if a designated member of the Board of Regents thereafter agrees to such statement and proposed penalty, a written application, signed by all the above, shall be submitted by the licensee to the Board of Regents based upon the statement and proposed penalty consenting to the issuance of an order of the Commissioner of Education effectuating such penalty. The provisions of this section shall also apply to licensees and registrants subject to section 230 of the Public Health Law and to article 137 of the Education Law. With respect to licensees subject to section 230 of the Public Health Law, the agreement of the director of the Office of Professional Medical Conduct or that officer's designee, and of the Commissioner of Health or his or her designee, to the statement and proposed penalty and their signatures on the application shall be required in lieu of the agreement and signature of the executive director, Office of Professional Discipline. With respect to licensees subject to the provisions of section 230 of the Public Health Law, the term State Board as used in this section means the State Board for Professional Medical Conduct. With respect to licensees and registrants subject to article 137 of the Education Law, the agreement of the executive secretary of the State Board of Pharmacy to the statement and proposed penalty and his or her signature on the application shall also be required.

(c) The application shall be in such form and shall contain such substance as is acceptable to the executive director of the Office of Professional Discipline or the director's designee.

(d) In the event an application is not granted by the Board of Regents, nothing contained therein shall be binding upon the licensee or construed to be an admission of any act of misconduct alleged or charged, and such application shall not be used against the licensee in any way. Any such application shall be kept in strict confidence during the pendency of the disciplinary proceeding. In addition, such denial by the Board of Regents shall be without prejudice to the continuance of the disciplinary proceeding and the final determination by the Board of Regents pursuant to the provisions of the Education Law.

(e) In the event the Board of Regents grants the application, the Commissioner of Education shall issue an order in accordance therewith.

**17.6 Surrender of license.** Disciplinary proceedings conducted pursuant to the provisions of title VIII of the Education Law may be disposed of in accordance with the following procedure:

(a) A licensee who is under investigation or against whom charges have been voted, who wishes to surrender his or her license to practice any of the professions enumerated in title VIII, shall notify the executive director of the Office of Professional Discipline or that officer's designee.

(b) An application to surrender a license shall be based upon a statement that the licensee admits guilt to at least one of the acts of misconduct alleged or charged, in full satisfaction of all allegations or charges, or does not contest the allegations or charges, or cannot successfully defend against at least one of the acts of misconduct alleged or charged. If the executive director or the director designee, a designated member of the State Board for the applicable profession, and the licensee agree to such statement, and if a designated member of the Board of Regents thereafter agrees to such statement, a written application, signed by the licensee, shall be submitted to the Board of Regents. The application shall be in such form and shall contain such substance as is acceptable to the executive director of the Office of Professional Discipline or the director's designee. The provisions of this section shall also apply to licensees and registrants subject to section 230 of the Public Health Law and to article 137 of the Education Law. With respect to licensees subject to section 230 of the Public Health Law, the agreement of the director of the Office of Professional Medical Conduct or that officer's designee and the Commissioner of Health or his or her designee to the statement, and their signature on the application, shall be required in lieu of the agreement and signature of the executive director of the Office of Professional Discipline. With respect to licensees subject to the provisions of section 230 of the Public Health Law, the term State Board as used in this section means the State Board for Professional Medical Conduct. With respect to licensees and registrants subject to article 137 of the Education Law, the agreement of the executive secretary of the State Board of Pharmacy to the statement and his or her signature on the application shall also be required.

(c) In the event the application is not granted by the Board of Regents, nothing contained therein shall be binding upon the licensee or construed to be an admission of any act of misconduct alleged or charged, and such application shall not be used against the licensee in any way. The application shall be kept in strict confidence during the pendency of the disciplinary proceeding. In addition, any such denial by the Board of Regents shall be made without prejudice to the continuance of any disciplinary proceeding and the final determination by the Board of Regents pursuant to the provisions of the Education Law.

(d) In the event the Board of Regents grants the application, the Commissioner of Education shall issue an order in accordance therewith. The order shall include a provision that the licensee may not apply for the restoration of the license affected by the granted application until at least one year has elapsed from the effective date of such order.

**17.7 Violation of probation.** (a) Upon the receipt of information indicating that the respondent may be in violation of any of the terms or conditions of respondent's probation, the department shall conduct an investigation.

(b) The executive director of the Office of Professional Discipline shall review the results of the investigation and if the executive director determines that a violation of probation proceeding is warranted, the executive director shall give notice to the respondent, by letter, of the facts forming the basis of the alleged violation of respondent's probation. The respondent, in said letter, shall be requested to indicate whether there is any dispute as to the facts, and shall be informed that if respondent disputes any of the facts the respondent shall be entitled to a hearing thereon.

(c) If the respondent does not dispute the facts forming the basis of the alleged violation of probation, the matter shall be submitted to the Regents Review Comittee for its review and recommendation(s) as to whether, based upon the undisputed facts, there has been a violation of the

terms or conditions of respondent's probation and, if so, as to the measure of discipline to be imposed upon the respondent.

(d) If the respondent disputes any of the facts forming the basis of the alleged violation of probation, the respondent shall be afforded a hearing before a hearing officer appointed by the commissioner to hear and make findings of fact, conclusions of law and recommendation(s). The department shall give the respondent at least 10 days' notice of the hearing, 15 if by mail. The evidence in support of the application shall be presented by counsel on behalf of the department and the respondent shall also have the right to be represented by counsel. The department and the respondent have the right to produce witnesses and other evidence, to cross-examine witnesses, and to examine any other evidence produced at the hearing. A stenographic record of the hearing will be made, and the hearing officer shall not be bound by the rules of evidence, but the findings of fact and conclusions of law of the hearing officer shall be based upon substantial evidence.

(e) The report of the hearing officer shall be reviewed by the Regents Review Committee.

(f) The Regents Review Committee shall notify the respondent, at least seven days before its meeting, of the time and place of such meeting, and shall also notify the respondent of the opportunity to appear in person and to be represented by counsel at such meeting.

(g) The Regents Review Committee shall transmit the report of the hearing officer and a written report of its review to the Board of Regents. The final determination shall be made by the Board of Regents, and the commissioner shall issue an order implementing such determination.

(h) The measure of discipline to be imposed for any violation of probation may be to continue the respondent on probation for a period in addition to the period of probation imposed in the original order, or to terminate the probation, vacate the stay of execution, and impose any measure of discipline authorized by section 6511 of the Education Law. A violation of probation shall constitute unprofessional conduct, and may constitute the basis for proceedings under either the provisions of section 6510 of the Education Law or of this Part.

**17.8 Hearing panel; administrative officers.** Findings of fact, recommendations as to penalties to be imposed, and any other actions taken by a hearing panel in disciplinary proceedings, except as hereinafter set forth, shall be made by majority vote. Any determination of guilt shall require a minimum of a four fifths vote of the hearing panel. An administrative officer, admitted to practice as an attorney in the State of New York, shall rule on all motions, procedures and other legal objections, and draft a report which shall reflect the determination and recommendation of the panel and be subject to the approval of and signature by the panel chairperson on behalf of the panel. The administrative officer shall not be entitled to a vote.

**17.9 Summary suspensions.** (a) If the executive director of the Office of Professional Discipline or that officer's designee believes that the public health, safety or welfare imperatively requires emergency action against a professional license, certificate, registration, permit or other authorization of the licensee to practice under title VIII of the Education Law, the executive director or the director's designee may make an application, on behalf of the department, to the Board of Regents for the summary suspension of said authorization pending the prompt institution prosecution and completion of formal disciplinary proceedings as provided under section 6510 of the Education Law.

(b) Summary suspension proceedings shall be commenced by the service on the licensee of a notice of hearing and a verified petition. The notice of hearing shall state the time and place of oral argument on the application for summary suspension and the regent designated by the chancellor to hear the matter, and shall include a copy of this rule. The notice of hearing and petition shall be personally served upon the licensee no later than five days prior to the date set for oral argument. If personal service cannot be made after due diligence, the notice of hearing and petition may be served by certified mail, to the licensee's last known address, not less than eight days prior to the date set for oral argument. The petition shall set forth the basis for the application and shall include sworn statements upon personal knowledge and/or exhibits demonstrating probable cause to believe that respondent has committed professional misconduct and that the public health, safety or welfare imperatively requires emergency action to summarily suspend respondent's license. A verified answer and any sworn statements and supporting exhibits may be served by respondent upon the executive director of the Office of Professional Discipline or that officer's designee no later than two days prior to the date set for oral argument. The executive director or designee may serve a verified reply, together with any sworn statement and supporting exhibits, to the answer no later than the day prior to the date of oral argument. The answer and/or reply may be served by certified mail by mailing to the addressee no later than three and two days, respectively, prior to the date set for oral argument. The petition, answer and re-

ply, together with any sworn statement and supporting exhibits, shall be transmitted at the time of service of each paper to the regent designated to hear the case. Saturdays, Sundays and legal holidays shall be excluded in calculating the periods of time set forth in this subdivision.

(c) At the oral argument, the Office of Professional Discipline and respondent and/or his or her attorney shall have the right to be heard, but no testimony shall be taken and no transcript of oral arguments shall be required. No further papers shall be submitted at the oral argument except by permission of the regent designated to conduct the proceeding.

(d) The regent designated by the chancellor to conduct the proceeding shall submit a written report of his or her conclusions and recommendation(s) to the Board of Regents, which shall determine whether to grant or deny the application for summary suspension. A determination by the Board of Regents granting the application must be based upon a finding that the public health, safety or welfare imperatively requires emergency action.

(e) Any determination of the Board of Regents shall be without prejudice to the department or licensee in any subsequent formal disciplinary proceeding.

# PART 24
# COMMITTEE ON THE PROFESSIONS

**Section 24.1** The Committee on the Professions shall consist of three department officers designated by the Board of Regents pursuant to Section 6506(10) of the Education Law.

**24.2** The Committee on the Professions shall review and make recommendations or determinations in licensing matters as provided in this Part or pursuant to referrals from the Board of Regents.

**24.3** The Committee on the Professions shall review and determine questions of the preprofessional or professional education of applicants for licensure or other authorizations to practice in accordance with the following standards:

(a) Preprofessional education.

(1) The Committee on the Professions may accept graduation from a professional program which is either registered by the department or nationally accredited in lieu of a maximum of six semester hours of preprofessional education.

(2) The Committee on the Professions may accept postsecondary study satisfactory to the department and performed after completion of professional study requirements in lieu of a maximum of 30 semester hours of required preprofessional study.

(b) Professional education. As the equivalent of a professional program registered by the department, the Committee on the Professions may accept a professional program which is:

(1) offered by an institution accredited by an accrediting organization acceptable to the department or recognized by the appropriate civil authorities of the country in which the school is located as an acceptable program of preparation for professional practice; and

(2) designed and conducted by the degree-granting institution to prepare graduates for the professional practice of the profession in the state or country in which the institution is located; and

(3) demonstrated to be the substantial equivalent in scope, content, and resources to a program meeting the requirements established by Part 52 of this Title for the registration of a professional licensure qualifying program in the State.

**24.4** The Committee on the Professions may review and determine appeals from licensing determinations of the department staff relating to education or experience requirements if the chairman of the Committee determines that the appeal involves a substantial or novel question which should be reviewed by the Committee.

**24.5** The Committee on the Professions shall review and determine appeals pursuant to section 18.7 of this Chapter relating to the voluntary surrender and restoration of licenses.

**24.6** The Committee on the Professions shall review and determine questions of moral character in accordance with the provisions of Part 28 of this Chapter.

**24.7** The Committee on the Professions shall review and submit its recommendation to the Board of Regents for final determinations in the following cases:

(a) applications pursuant to Education Law, section 6506(5) for the waiver of an education, experience or examination requirement on the ground that the requirement has been substantially met, and

(b) petitions for restoration of a professional license which has been revoked or surrendered pursuant to Education Law, sections 6510 or 6510-a. At least one year shall have elapsed from the date of service of the order of revocation, acceptance of surrender, or denial of a prior application for restoration or reinstatement by vote of the Board of Regents, for the acceptance by the department of a petition to the Board of Regents for restoration of a license or certificate, except that a period of time during which the license was suspended during the pendency of the discipline proceeding may reduce the one year waiting period. This section shall not apply to restoration of licenses which have been temporarily surrendered pursuant to Education Law section 6510-b or Public Health Law section 230, subdivision 13.

(1) Materials submitted in response to the Committee on the Professions' recommendation to the Board of Regents shall be filed no later than 15 days following

the postmarked date of the written notification of the decision or recommendation of the Committee on the Professions.

(2) If an applicant has failed to remain current with developments in the profession, and a substantial question is presented as to the applicant's current fitness to enter into the active practice of the profession, the Board of Regents may require that the applicant take and obtain satisfactory grades on a proficiency examination satisfactory to the department prior to the issuance of a license or limited permit.

**24.8 Reconsideration.** An application for reconsideration of a determination made by the Committee on the Professions or by the Board of Regents following a Committee on the Professions recommendation may be accepted upon a showing that the original action was based on an error of law, or that there is new and material evidence which was not previously available, or that circumstances have changed subsequent to the original determination.

# PART 28
# DETERMINATION OF GOOD MORAL CHARACTER IN THE PROFESSIONS

Section 28.1 Determination of good moral character
28.2 Information
28.3 Investigation
28.4 Review
28.5 Hearing
28.6 Appeal
28.7 Reapplication
28.8 Proficiency examination

**Section 28.1 Determination of good moral character.** The determination of whether an applicant for authorization to practice a profession, under title VIII of the Education Law, is of good moral character shall be made in accordance with the procedures specified in this Part.

**28.2 Information.** All information indicating that an applicant has been convicted of a crime, or has committed an act which raises a reasonable question as to the applicant's moral character, shall be referred to the executive director of the Office of Professional Discipline or his or her designee.

**28.3 Investigation.** The executive director of the Office of Professional Discipline, or his or hr designee, shall arrange for a full and complete investigation of the circumstances surrounding such conviction or act. If it is determined that a reasonable question exists as to the applicant's moral character, then the executive director, or his or her designee, shall submit the results of the investigation, including any letters of reference from peers or others which may have been submitted by the applicant, to a panel of the appropriate professional State Board for its review. The panel shall consist of three or more members of the State Board.

**28.4 Review.** Upon such review, the panel, by majority vote, may conclude in favor of the applicant to the effect that the moral character of the applicant is adequate for licensure, thereby fulfilling that licensure requirement, and the applicant and the director of the Division of Professional Licensing Services shall be notified of such conclusion by the Office of Professional Discipline. If, upon such review, the panel concludes, by a majority vote, that a substantial question exists as to the moral character of the applicant, the applicant shall be notified thereof. Upon the written request of the applicant, within 30 days after receipt of such notice, the department shall schedule a hearing on such question.

**28.5 Hearing.** The applicant shall be given 15 days' notice, by mail, of the time and place of the hearing and a statement of the matters asserted which raised the question of the applicant's moral character. The applicant may be represented at the hearing by an attorney, may cross-examine witnesses, may produce witnesses, and may present evidence in support of the applicant's good moral character. The hearing, at which a verbatim record shall be taken, shall take place before a panel consisting of three or more members of the appropriate professional State Board, and before an administrative officer admitted to practice as an attorney in the State of New York, designated by the department. Such administrative officer shall have authority to rule on all motions, procedures and other legal objections, but shall not be entitled to vote in the determination of the panel. The determination of the panel shall be made by a majority vote of the panel and shall be rendered in a written report which shall be drafted by the administrative officer, shall reflect the determination and recommendations of the panel, and shall be subject to the approval of and signature by the panel chairperson on behalf of the panel. Copies of the report shall be forwarded to the director of the Division of Professional Licensing Services and to the applicant.

**28.6 Appeal.** The applicant or the executive director of the Office of Professional Discipline may appeal the determination of the panel concerning the licensure requirement of good moral character by filing a written notice of appeal therefrom with the Committee on the Professions within 30 days after service of the report of the panel upon the party taking the appeal. In the event no appeal is taken from the determination of the panel, the determination of the panel shall be final. In the event either party appeals from the determination of the panel, the appellant may submit a brief to the Committee on the Professions and the opposing party within 30 days after

filing the notice of appeal. An answering brief may be filed by the opposing party with the Committee on the Professions within 20 days after the receipt by the opposing party of the brief submitted by the appellant. The Committee on the Professions may affirm, reverse or modify the determination of the panel and/or make such other determination as it may deem just and proper under the circumstances. The determination of the Committee on the Professions shall be final and copies thereof shall be forwarded to the applicant and to the executive director of the Office of Professional Discipline.

**28.7 Reapplication.** Whether or not the applicant appeals from the determination of the panel, the applicant may reapply for licensure to the director of the Division of Professional Licensing Services after the expiration of 18 months from the date of service of the report of the panel.

**28.8 Proficiency examination.** If the Committee on the Professions determines that the applicant otherwise meets the moral character requirements, but has failed to remain current with developments in the profession, and a substantial question is presented as to the applicant's current fitness to enter into the active practice of the profession, the Committee on the Professions may require that the applicant take and obtain satisfactory grades on a proficiency examination satisfactory to the department prior to the issuance of a license or limited permit.

# RULES OF THE BOARD OF REGENTS
# ON UNPROFESSIONAL CONDUCT
## Section 29.1
## GENERAL PROVISIONS FOR ALL PROFESSIONS

(a) Unprofessional conduct shall be the conduct prohibited by this section. The provisions of these rules applicable to a particular profession may define additional acts or omissions as unprofessional conduct and may establish exceptions to these general prohibitions.

(b) Unprofessional conduct in the practice of any profession licensed or certified pursuant to title VIII of the Education Law shall include:

(1) willful or grossly negligent failure to comply with substantial provisions of Federal, State or local laws, rules or regulations governing the practice of the profession;

(2) exercising undue influence on the patient or client, including the promotion of the sale of services, appliances or drugs in such manner as to exploit the patient or client for the financial gain of the practitioner or of a third party;

(3) directly or indirectly offering, giving, soliciting, or receiving or agreeing to receive, any fee or other consideration to or from a third party for the referral of a patient or client or in connection with the performance of professional services;

(4) permitting any person to share in the fees for professional services, other than: a partner, employee, associate in a professional firm or corporation, professional subcontractor or consultant authorized to practice the same profession, or a legally authorized trainee practicing under the supervision of a licensed practitioner. This prohibition shall include any arrangement or agreement whereby the amount received in payment for furnishing space, facilities, equipment or personnel services used by a professional licensee constitutes a percentage of, or is otherwise dependent upon, the income or receipts of the licensee from such practice, except as otherwise provided by law with respect to a facility licensed pursuant to article 28 of the Public Health Law or article 13 of the Mental Hygiene Law;

(5) conduct in the practice of a profession which evidences moral unfitness to practice the profession;

(6) willfully making or filing a false report, or failing to file a report required by law or by the Education Department, or willfully impeding or obstructing such filing, or inducing another person to do so;

(7) failing to make available to a patient or client, upon request, copies of documents in the possession or under the control of the licensee which have been prepared for and paid for by the patient or client;

(8) revealing of personally identifiable facts, data or information obtained in a professional capacity without the prior consent of the patient or client, except as authorized or required by law;

(9) practicing or offering to practice beyond the scope permitted by law, or accepting and performing professional responsibilities which the licensee knows or has reason to know that he or she is not competent to perform, or performing without adequate supervision professional services which the licensee is authorized to perform only under the supervision of a licensed professional, except in an emergency situation where a person's life or health is in danger;

(10) delegating professional responsibilities to a person when the licensee delegating such responsibilities knows or has reason to know that such person is not qualified, by training, by experience or by licensure, to perform them;

(11) performing professional services which have not been duly authorized by the patient or client or his or her legal representative;

(12) advertising or soliciting for patronage that is not in the public interest:

(i) Advertising or soliciting not in the public interest shall include but not be limited to advertising or soliciting that:

(a) is false, fraudulent, deceptive, misleading, sensational or flamboyant;

(b) represents intimidation or undue pressure;

(c) uses testimonials;

(d) guarantees any service;

(e) makes any claim relating to professional services or products or the cost or price therefor which cannot be substantiated by the licensee, who shall have the burden of proof;

(f) makes claims of professional superiority which cannot be substantiated by the licensee, who shall have the burden of proof;

(g) offers bonuses or inducements in any form other than a discount or reduction in an established fee or price for a professional service or product;

(ii) The following shall be deemed appropriate means of informing the public of the availability of professional services:

(a) informational advertising not contrary to the foregoing prohibitions; and

(b) the advertising in a newspaper, periodical or professional directory or on radio or television of fixed prices, or a stated range of prices, for specified routine professional services, provided that there is an additional charge for related services which are an integral part of the overall service being provided by the licensee and the advertisement shall so state, and provided further that the advertisement indicates the period of time for which the advertised prices shall be in effect;

(iii) (a) all licensees placing advertisements shall maintain, or cause to be maintained, an exact copy of each advertisement, transcript, or videotape thereof as appropriate for the medium used, for a period of one year after its last appearance. This copy shall be made available for inspection upon demand of the Education Department or in the case of physicians, physician's and specialist's assistants, the Department of Health;

(b) a licensee shall not compensate or give anything of value to representatives of the press, radio, television or other communications media in anticipation of or in return for professional publicity in a news item;

(iv) No demonstrations, dramatizations or other portrayals of professional practice shall be permitted in advertising on radio or television;

(13) failing to respond within 30 days to written communications from the Education Department or the Department of Health and to make available any relevant records with respect to an inquiry or complaint about the licensee's unprofessional conduct. The period of 30 days shall commence on the date when such communication was delivered personally to the licensee. If the communication is sent from either department by registered or certified mail, with return receipt requested, to the address appearing in the last registration the period of 30 days shall commence on the date of delivery to the licensee, as indicated by the return receipt.

(14) violating any term of probation or condition or limitation imposed on the licensee by the Board of Regents pursuant to Education Law section 6511.

## Section 29.2
## GENERAL PROVISIONS FOR HEALTH PROFESSIONS

(a) Unprofessional conduct shall also include, in the professions of:

| | |
|---|---|
| medicine | ophthalmic dispensing |
| acupuncture | psychology |
| physical therapy | social work |
| physician's assistant | massage |
| specialist's assistant | occupational therapy |
| chiropractic | speech-language pathology |
| dentistry | audiology |
| dental hygiene | nursing |
| pharmacy | (registered professional |
| podiatry | nurse, licensed practical |
| optometry | nurse): |

(1) abandoning or neglecting a patient or client under and in need of immediate professional care, without making reasonable arrangements for the continuation of such care, or abandoning a professional employment by a group practice, hospital, clinic or other health care facility, without reasonable notice and under circumstances which seriously impair the delivery of professional care to patients or clients;

(2) willfully harassing, abusing or intimidating a patient either physically or verbally;

(3) failing to maintain a record for each patient which accurately reflects the evaluation and treatment of the patient. Unless otherwise provided by law, all patient records must be retained for at least six years. Obstetrical records and records of minor patients must be retained for at least six years, and until one year after the minor patient reaches the age of 21 years;

(4) using the word "Doctor" in offering to perform professional services without also including the profession in which the licensee holds a doctorate;

(5) failing to exercise appropriate supervision over persons who are authorized to practice only under the supervision of the licensed professional;

(6) guaranteeing that satisfaction or a cure will result from the performance of professional services;

(7) ordering of excessive tests, treatment, or use of treatment facilities not warranted by the condition of the patient;

(8) claiming or using any secret or special method of treatment which the licensee refuses to divulge to the State Board for the profession;

(9) failing to wear an identifying badge, which shall be conspicuously displayed and legible, indicating the practitioner's name and professional title authorized pursuant to the Education Law, while practicing as an employee or operator of a hospital, clinic, group practice or multiprofessional facility, or at a commercial establishment offering health services to the public;

(10) entering into an arrangement or agreement with a pharmacy for the compounding and/or dispensing of coded or specially marked prescriptions;

(11) with respect to all professional practices conducted under an assumed name, other than facilities licensed pursuant to article 28 of the Public Health Law or article 13 of the Mental Hygiene Law, failing to post conspicuously at the site of such practice the names and licensure field of all of the principal professional licensees engaged in practice at that site (i.e., principal partners, officers or principal shareholders).

(b) Unprofessional conduct shall also include, in those professions specified in section 18 of the Public Health Law and in the professions of acupuncture and massage, failing to provide access by qualified persons to patient information in accordance with the standards set forth in section 18 of the Public Health Law. In the professions of acupuncture and massage, qualified persons may appeal the denial of access to patient information in the manner set forth in section 18 of the Public Health Law to a record access committee appointed by the executive secretary of the appropriate State Board. Such record access review committees shall consist of not less than three nor more than five members of the appropriate State Board.

New York State Board for
Speech-Language Pathology and Audiology

# GUIDLINES FOR EVALUATING APPLICANT'S EXPERIENCE

**The supervisor is responsible for verifying to the State Board for Speech-Language Pathology and Audiology that the applicant has completed professional-level experience. Suggestions for consideration under each competency listed below are not intended to be all-inclusive or limited to those stated. Rather, they are intended as examples of professional behaviors to be accomplished by the applicant. Also, the suggestions for consideration are not intended to establish specific criteria, to restrict supervisor judgement, or to limit in any way the scope of professional practice.**

(a.) *Professional General*

1. The applicant demonstrates ability to communicate effectively.

   Considerations:
   The applicant:

   (i) Communicates, as necessary, in an advocacy role for clients/patients.

   (ii) Interprets clinical data to clients/patients and care givers effectively.

   (iii) Participates in professional meetings and case conferences.

2. The applicant demonstrates understanding of human growth and development.

   Considerations:
   The applicant:

   (i) Demonstrates knowledge of developmental milestones.

   (ii) Differentiates between normal and abnormal aspects of physical, emotional, and social development.

   (iii) Understands the role of communication in social, emotional, intellectual, and educational processes.

3. The applicant demonstrates professional responsibility and conduct.

4. The applicant displays understanding of the roles and responsibilities of other professionals and the importance of interdisciplinary cooperation.

   Considerations:
   The applicant:

   (i) Understands the organizational structure of the work environment and interacts appropriately with other professionals.

   (ii) Is aware of regulations governing other professionals in client/patient care.

   (iii) Respects confidentiality of client/patient information

   (iv) Uses appropriate referral procedures

(b) Professional Specific

1. The applicant applies a functional understanding of communication development in the delivery of clinical services.

   Considerations:

   (i) The applicant displays knowledge of the interrelationships among the various speech-language-hearing processes and the effects of impairment in one area on functions in other areas.

2. The applicant uses appropriate, representative methods and materials in diagnosis/assessment.

   Considerations include the following:
   The applicant:

   (i) Selects and administers appropriate diagnostic tests and procedures.

   (ii) Records diagnostic/assessment results accurately.

   (iii) Interprets diagnostic/assessment results accurately, concisely, and clearly.

   (iv) Uses diagnostic/assessment interpretations as a basic for a course of action.

3. The applicant effectively uses appropriate equipment in diagnosis/assessment and treatment/remediation.

**Considerations in Speech-Language Pathology include:**
The applicant:

(i) Demonstrates skill in the use of appropriate audiometric and tympanometric screening equipment, audio and video recording equipment, and other equipment which may be required for clinical management.

(ii) Is familiar with equipment used by other professions which may be relevant to diagnosis/assessment and treatment/rehabilitation.

**Considerations in Audiology:**
The applicant:

(i) Uses speech-language screening instruments, audiometric, aural acoustic immitance vestibulometric, hearing aid acoustic evaluation equipment, sound level measurement and audiometric calibration devices and other instruments which may be required for audiological diagnosis/assessment and/or treatment of hearing disorders.

(ii) Knows prevailing audiological instrumentation calibration standards and procedures as well as prevailing standards of ambient background sound levels in an audiometric test environment.

(iii) Reads and interprets manufacturing specifications for personal and group prosthetic amplification in light of prevailing standards.

(iv) Is familiar with commercially available materials used for the evaluation of auditory function.

4. The applicant plans, organizes, and implements an effective and efficient treatment/remediation program.

Considerations:
The applicant:

(i) Recognizes the interrelationship existing among aspects of the total program in his/her program planning.

(ii) Follows work environment procedures in scheduling.

(iii) Works cooperatively with others in planning.

(iv) Considers client/patient needs in planning and scheduling.

(v) Uses time efficiently and effectively.

(vi) Uses current professional knowledge in determining length, frequency, and types of sessions, and other planning decisions.

5. The applicant displays a fundamental knowledge of the principles underlying the treatment/remediation of communication disorders, uses appropriate methods and techniques in the provision of services, and maintains appropriate records.

**Considerations in Speech-Language Pathology:**
The applicant:

(i) Employs rationale for selection treatment/remediation methods and materials.

(ii) Uses methods and materials appropriate to the client/patient.

(iii) Provides clear direction in managing client behavior.

(iv) Prescribes assignments and carryover activities when necessary.

(v) Communicates treatment/remediation goals and techniques to the client/patient and family members.

(vi) Is supportive and provides appropriate reinforcement.

(vii) Integrates information from other professionals in treatment/remediation.

(viii) Aids the client/patient in indentifying target communication function and in discriminating appropriate from inappropriate communication behavior.

(ix) Explains causation, prognosis, and planning in a clear, understandable, concise manner.

(x) Provides treatment/remediation commensurate with the client/patient's intellectual, social, emotional, and educational levels.

(xi) Determines the need for augmentative communication, and selects and uses the appropriate systems.

**Considerations in Audiology:**
The applicant:

(i) Demonstrates knowledge of FDA "warning signs" concerning the fitting of prosthetic amplification.

(ii) Determines the need for prosthetic amplification and devices based on appropriate audiological assessment procedures.

(iii) Demonstrates an awareness of state-of-the-art technology in available forms of prosthetic amplification.

(iv) Selects prosthetic amplification appropriate for the client/patient need.

(v) Demonstrates a knowledge of earmold acoustics, styles and materials.

(vi) Demonstrates earmold impression-taking skills.

(vii) Fits and adjusts prosthetic amplification.

(viii) Provides and interprets appropriate measures of listener performance with prosthetic amplification.

(ix) Plans and implements a program of orientation for the user of prosthetic devices.

(x) Recommends and implements auditory rehabilitation measures such as speechreading, auditory training, and other communication strategies as indicated.

(xi) Monitors client/patient progress and determines the need for service/repair of prosthetic amplification and takes appropriate action.

(xii) Provides remedial services.

(xiii) Provides or refers for support counseling for hearing impaired individuals and their families.

(xiv) Demonstrates ability to organize and implement a hearing conversation program consonant with existing federal and state regulations.

(xv) Provides or refers for educational evaluation to determine appropriate school placement for children with a hearing loss.

(xvi) Demonstrates knowledge of selection and fitting techniques for specialized prosthetic devices for management of unique auditory disorders.

(xvii) Demonstrates knowledge of selection and fitting techniques for personal hearing protection.

(xviii) Provides information about and prescribes assistive devices such as alarms, group listening devices, Fm systems, etc.

## SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY PROGRAMS IN NEW YORK STATE REGISTERED BY THE NEW YORK STATE EDUCATION DEPARTMENT

**(Licensure Qualifying)**

| Area of Accreditation | Independent Colleges and Universities |
|---|---|
| SP | Adelphi University<br>Garden City, NY 11530<br>Tel. (516) 228-7993 |
| SP/A | College of St. Rose<br>432 Western Avenue<br>Albany, NY 12203<br>Tel. (518) 454-5111 |
| SP/A | Hofstra University<br>Hempstead Turnpike<br>Hempstead, NY 11550<br>Tel. (516) 560-5509 |
| SP/A | Ithaca College<br>Ithaca, NY 14850<br>Tel. (607) 274-3265 |
| SP | Nazareth College of Rochester<br>4245 East Avenue<br>Rochester, NY 14610<br>Tel. (716) 586-2525 |
| SP/A | New York University<br>70 Washington Square South<br>New York, NY 10012<br>Tel. (212) 998-5191 |
| SP/A | St. John's University<br>Queens Campus (Main)<br>Grand Central & Utopia Parkways<br>Jamaica, NY 11439<br>Tel. (718) 990-6480 |
| SP/A | Syracuse University<br>Communications Sciences & Disorders Program<br>805 South Crouse Avenue<br>Syracuse, NY 13210<br>Tel. (315) 423-4485 |
| SP/A | Teachers College<br>Columbia University<br>Department of Speech & Language Pathology & Audiology<br>New York, NY 10027<br>Tel. (212) 678-3895 |

| Area of Accreditation | City University of New York (CUNY) |
|---|---|
| SP/A | Brooklyn College<br>Bedford Avenue & Avenue H<br>Brooklyn, NY 11210<br>Tel. (718) 780-5186 |
| SP/A | The City College<br>Convent Avenue & 138th Street<br>New York, NY 10031<br>Tel. (212) 690-5377 |
| SP/A | Graduate School<br>33 West 42nd Street<br>New York, NY 10036<br>Tel. (212) 642-2352 |
| SP/A | Hunter College<br>Communications Sciences Program<br>School of Health Sciences<br>425 E. 25th Street<br>New York, NY 10010<br>Tel. (212) 481-4464 |
| SP/A | Herbert H. Lehman College<br>Bedford Park Boulevard West<br>Bronx, NY 10468<br>Tel. (212) 960-8134 |
| SP/A | Queens College<br>65-30 Kissena Boulevard<br>Flushing, NY 11367-0904<br>Tel. (718) 520-7538 |
| | State University of New York (SUNY) |
| | Colleges of Arts and Sciences |
| SP | SUC at Buffalo<br>1300 Elmwood Avenue<br>Buffalo, NY 14222<br>Tel. (716) 878-5719 |
| SP | SUC at Geneseo<br>Geneseo, NY 14454<br>Tel. (716) 245-5328 |
| | University Centers |
| SP | SUNY at Buffalo<br>Department of Communicative Disorders & Sciences<br>Speech & Hearing Clinic<br>4226 Ridge Lea Road<br>Amherst, NY 14226<br>Tel. (716) 636-3400 |

# PROGRAMS IN SPEECH-LANGUAGE PATHOLOGY OR AUDIOLOGY ACCREDITED BY THE EDUCATIONAL STANDARDS BOARD OF THE AMERICAN SPEECH-LANGUAGE-HEARING ASSOCIATION (ASHA)

**January 1, 1988**

The following list specifies those institutions of higher learning in which the master's degree program in speech-language pathology and/or audiology has been accredited by the Educational Standards Board (ESB) of the American Speech-Language-Hearing Association (ASHA). ESB accreditation is sought voluntarily by educational programs that offer master's degrees in speech-language pathology, and audiology, or both. The ESB accreditation program is recognized by the Council on Postsecondary Accreditation (COPA) and the U.S. Department of Education.

The area in which a program has been accredited by ESB is indicated by the letters SP (speech-language pathology), A (audiology), or SP/A (both) that appear to the left of each entry.

ESB accreditation offers a graduate the assurance that the academic and clinical practicum experience obtained in an accredited program meets nationally established standards. ESB accreditation means that a program has;

- engaged in extensive self-study often over a period of years;
- prepared and submitted a complex application often as much as one hundred pages long;
- undergone an on-site visit by a team of specially trained peers;
- received, and responded to, a digest of the report submitted by the site visitors;
- had their application, the site visit report, and their response thereto studied by ESB;
- undergone final evaluation and approval by ESB; and
- submitted annual reports during the period of their accreditation.

For information relative to a specific educational program, write to the director of the speech-language pathology and/or audiology program in care of the institution listed. Additional information about the profession can be obtained by writing to the American Speech-Language-Hearing Association, 10801 Rockville Pike, Rockville, Maryland 20852.

## GRADUATE PROGRAMS ACCREDITED BY THE AMERICAN SPEECH-LANGUAGE HEARING ASSOCIATION

KEY: Speech-Language Pathology (SP)
Audiology (A)

## ALABAMA

SP/A AUBURN UNIVERSITY
Department of Communication Disorders
1199 Haley Center
University, AL 36849
Tel. (205) 826-5546

SP/A UNIVERSITY OF SOUTH ALABAMA
Department of Speech Pathology
and Audiology
College of Allied Health Professions
Mobile, AL 36688
Tel. (205) 460-6327

SP/A UNIVERSITY OF MONTEVALLO
Department of Communication Science
and Disorders
Station 6720
Montevallo, AL 35115
Tel.(205) 665-6720

SP/A UNIVERSITY OF ALABAMA
Department of Communicative Disorders
University, AL 35486
Tel. (205) 348-7131

## ARIZONA

SP/A ARIZONA STATE UNIVERSITY
Department of Speech and Hearing Science
Tempe, AZ 85287
Tel. (602) 965-2373

SP/A UNIVERSITY OF ARIZONA
Department of Speech and Hearing Sciences
104 Speech Building #25
Tucson, AZ 85721
Tel. (602) 621-1644

## ARKANSAS

SP UNIV. OF ARKANSAS-FAYETTEVILLE
Speech Pathology-Audiology Program
Fayetteville, AR 72701
Tel. (501) 575-4509

SP/A UNIV. OF ARKANSAS
AT LITTLE ROCK
Department of Communicative Disorders
2601 S. University
Little Rock, AR 72204
Tel. (501) 569-3155

## CALIFORNIA

SP CALIFORNIA STATE UNIV., CHICO
Speech Pathology and Audiology
Department of Education
Chico, CA 95929
Tel. (916) 895-6421

SP CALIFORNIA STATE UNIVERSITY
at FRESNO
Department of Communicative Disorders
Maple and Shaw
Fresno, CA 93740
Tel. (209) 294-2423

SP/A CALIFORNIA STATE UNIVERSITY
at FULLERTON
Department of Speech Communication
800 N. State College Blvd.
Fullerton, CA 92634
Tel. (714) 773-3617

SP/A CALIFORNIA STATE UNIVERSITY
at LONG BEACH
Department of Communicative Disorders
1250 Bellflower Blvd.
Long Beach, CA 90840
Tel. (213) 498-4583

SP/A CALIFORNIA STATE UNIVERSITY
at NORTHRIDGE
Department of Communicative Disorders
18111 Nordhoff Street
Northridge, CA 91330
Tel. (818) 885-2852

SP/A CALIFORNIA STATE UNIVERSITY
at SACRAMENTO
Department of Speech Pathology
and Audiology
6000 J Street
Sacramento, CA 95819
Tel. (916) 278-6601

**CALIFORNIA (CONTINUED)**

SP/A SAN DIEGO STATE UNIVERSITY
Department of Communicative Disorders
San Diego, CA 92182
Tel. (619) 265-6774

SP/A SAN FRANCISCO STATE UNIV.
Communicative Disorders Program
1600 Holloway Avenue
San Francisco, CA 94132
Tel. (415) 469-1001

SP/A SAN JOSE STATE UNIVERSITY
Speech and Hearing Center
Washington Square
San Jose, CA 95192
Tel. (408) 277-2651

SP/A UNIVERSITY OF CALIFORNIA
at SANTA BARBARA
Department of Speech and Hearing Sciences
Santa Barbara, CA 93106
Tel. (805) 961-2776

SP CALIFORNIA STATE UNIVERSITY
at STANISLAUS
Department of Communication Studies
Speech, Language and Hearing Center
801 W. Monte Vista Avenue
Turlock, CA 95380
Tel. (209) 667-3371

SP UNIVERSITY OF THE PACIFIC
Department of Communicative Disorders
3601 Pacific Avenue
Stockton, CA 95211
Tel. (209) 946-2381

**COLORADO**

SP/A UNIVERSITY OF COLORADO
Department of Communication Disorders
and Speech Science
Boulder, CO 80309
Tel. (303) 492-5208

SP/A COLORADO STATE UNIVERSITY
Department of Communication Disorders
Fort Collins, CO 80523
Tel. (303) 491-6981

SP/A UNIV. OF NORTHERN COLORADO
Department of Communication Disorders
Greeley, CO 80693
Tel. (303) 351-1587

**CONNECTICUT**

SP SOUTHERN CONNECTICUT
STATE UNIVERSITY
Department of Communication Disorders
501 Crescent Street
New Haven, CT 06515
Tel. (203) 297-4571

SP/A UNIVERSITY OF CONNECTICUT
Dept. of Communication Sciences-U-85
Storrs, CT 06268
Tel. (203) 486-2817

**DISTRICT OF COLUMBIA**

SP UNIVERSITY OF THE
DISTRICT OF COLUMBIA
Department of Communication Sciences
916 G Street, NW
Washington, DC 20001
Tel. (202) 727-2318

A GALLAUDET UNIVERSITY
Department of Audiology
800 Florida Avenue, NE
Washington, DC 20002
Tel. (202) 651-5328

SP HOWARD UNIVERSITY
Department of Communication Arts
and Sciences
2400 6th Street, NW
Washington, DC 20059
Tel. (202) 636-6711

SP/A THE GEORGE WASHINGTON UNIV.
Department of Speech and Hearing
2201 G Street, NW
Washington, DC 20052
Tel. (202) 676-7362

## FLORIDA

SP/A UNIVERSITY OF FLORIDA
Department of Speech - 335 ASB
Gainesville, FL 32611
Tel. (904) 392-2035

SP/A FLORIDA STATE UNIVERSITY
Department of Audiology
and Speech Pathology
113 Regional Rehabilitation Center
Tallahassee, FL 32306
Tel. (904) 644-2238

SP/A UNIVERSITY OF SOUTH FLORIDA
Department of Communicology
4202 E. Fowler Avenue
Tampa, FL 33620
Tel. (813) 974-2006

## GEORGIA

SP/A UNIVERSITY OF GEORGIA
Department of Speech Pathology
and Audiology
Aderhold Hall
Athens, GA 30602
Tel. (404) 542-1685

## IDAHO

SP/A IDAHO STATE UNIVERSITY
Department of Speech Pathology
and Audiology
Box 8116
Pocatello, ID 83209
Tel. (208) 236-2852

## ILLINOIS

SP SOUTHERN ILLINOIS UNIVERSITY
at CARBONDALE
Department of Communication Disorders
and Sciences
Carbondale, FL 62901
Tel. (618) 453-4301

SP/A UNIVERSITY OF ILLINOIS
Department of Speech and
Hearing Science
220 Speech and Hearing Science Bldg.
901 S. 6th Street
Champaign, IL 61820
Tel. (217) 333-2230

SP EASTERN ILLINOIS UNIVERSITY
Department of Speech Pathology
and Audiology
Charleston, IL 61920
Tel. (217) 581-2712

SP/A NORTHERN ILLINOIS UNIVERSITY
Department of Communicative Disorders
DeKalb, IL 60115
Tel. (815) 753-1486

SP SOUTHERN ILLINOIS UNVIERSITY
at EDWARDSVILLE
Department of Speech Pathology
and Audiology
Box 72-B
Edwardsville, IL 62026
Tel. (618) 692-3662

SP/A NORTHWESTERN UNIVERSITY
Department of Communication Sciences
and Disorders
2299 Sheridan Road
Evanston, IL 60201
Tel. (312) 491-3066

SP/A WESTERN ILLINOIS UNIVERSITY
Speech and Hearing Sciences
113 Browne Hall
Macomb, IL 61455
Tel. (309) 298-1955

SP/A ILLINOIS STATE UNIVERSITY
Department of Speech Pathology
and Audiology
Fairchild Hall #204
Normal, IL 61761
Tel. (309) 438-8643

## INDIANA

SP/A INDIANA UNIVERSITY
Department of Speech and Hearing Sciences
Bloomington, IN 47405
Tel. (812) 335-4156

SP/A BALL STATE UNIVERSITY
Department of Speech Pathology
and Audiology
2000 University Avenue
Muncie, IN 47306
Tel. (317) 285-8162

SP INDIANA STATE UNIVERSITY
Department of Communication Disorders
8th and Sycamore
Terre Haute, IN 47809
Tel. (812) 237-2804

SP/A PURDUE UNIVERSITY
Department of Audiology
and Speech Sciences
West Lafayette, IN 47907
Tel. (317) 494-3785

## IOWA

SP UNIVERSITY OF NORTHERN IOWA
Department of Communicative Disorders
Cedar Falls, IA 50614
Tel. (319) 273-2496

SP/A UNIVERSITY OF IOWA
Department of Speech Pathology
and Audiology
Iowa City, IA 52242
Tel. (319) 353-5205

## KANSAS

SP FORT HAYS STATE UNIVERSITY
Area of Communication Disorders
600 Park Street
Hays, KS 67601
Tel. (913) 628-5366

SP/A UNIVERSITY OF KANSAS
Intercampus Program
Department of Speech-Language
Hearing Sciences and Disorders
290 Haworth Hall
Lawrence, KS 66045
Tel. (913) 864-4690

SP KANSAS STATE UNIVERSITY
Department of Speech
Leasure Hall
Manhattan, KS 66506
Tel. (913) 532-6879

## KENTUCKY

SP EASTERN KENTUCKY UNIVERSITY
Communication Disorders Program
Richmond, KY 40475
Tel. (606) 622-4444

SP/A UNIVERSITY OF LOUISVILLE
Division of Communicative Disorders
Meyers Hall
129 E. Broadway
Louisville, KY 40292
Tel. (502) 588-5274

SP MURRAY STATE UNIVERSITY
Division of Communication Disorders
16th and Main Streets
Murray, KY 42071
Tel. (502) 762-2446

SP UNIVERSITY OF KENTUCKY
Program in Speech and Communication
Disorders
229 Taylor Education Building
Lexington, KY 40506
Tel. (606) 257-4713

## LOUISIANA

SP LOUISIANA STATE UNIVERSITY
Speech Pathology and Audiology
Music and Dramatic Arts Building
Baton Rouge, LA 70803-2606
Tel. (504) 388-2545

SP UNIVERSITY OF SOUTHWESTERN LOUISIANA
Department of Commincative Disorders
University Avenue
Lafayette, LA 70504
Tel. (318) 231-6721

SP/A LOUISIANA STATE UNIVERSITY MEDICAL CENTER
Department of Communication Disorders
1900 Gravier Street
New Orleans, LA 70112
Tel. (504) 568-4348

SP LOUISIANA TECH UNIVERSITY
Department of Speech
Post Office Box 3165
Ruston, LA 71272
Tel. (318) 257-4764

## MARYLAND

SP/A UNIVERSITY OF MARYLAND
Department of Hearing and Speech Sciences
College Park, MD 20742
Tel. (301) 454-5831

## MASSACHUSETTS

SP/A UNIVERSITY OF MASSACHUSETTS
Department of Communication Disorders
Arnold House, Room 6
Amherst, MA 01003
Tel. (413) 545-0551

SP EMERSON COLLEGE
Department of Communication Disorders
168 Beacon Street
Boston, MA 02116
Tel. (617) 536-7255

SP/A NORTHEASTERN UNIVERSITY
Dept. of Speech-Language Pathology and Audiology
360 Huntington Avenue
Boston, MA 02115
Tel. (617) 437-5748

SP BOSTON UNIVERSITY
Department of Communication Disorders
48 Cummington Street
Boston, MA 02215
Tel. (617) 353-3252

SP WORCESTER STATE COLLEGE
Communication Disorders Department
486 Chandler Street
Worcester, MA 01602-2597
Tel. (617) 793-8055

## MICHIGAN

SP UNIVERSITY OF MICHIGAN
Speech and Hearing Sciences Program
1111 E. Catharine Street
Ann Arbor, MI 48109
Tel. (313) 764-8441

A WAYNE STATE UNIVERSITY SCHOOL OF MEDICINE
Department of Audiology
5E University Health Center
4201 St. Antoine
Detroit, MI 48201
Tel. (313) 577-1393

SP WAYNE STATE UNIVERSITY
Communication Disorders and Sciences
555 Manoogian Hall
Detroit, MI 48223
Tel. (313) 577-0337

**MICHIGAN (CONTINUED)**

SP/A MICHIGAN STATE UNIVERSITY
Department of Audiology and Speech Sciences
378 Communication Arts and Sciences Bldg.
East Lansing, MI 48824-1212
Tel. (517) 353-7175

SP/A WESTERN MICHIGAN UNIVERSITY
Department of Speech Pathology
and Audiology
Kalamazoo, MI 49008
Tel. (616) 383-0963

SP NORTHERN MICHIGAN UNIVERSITY
Department of Communication Disorders
Marquette, MI 49855
Tel. (906) 227-2125

SP/A CENTRAL MICHIGAN UNIVERSITY
Communication Disorders
Mt. Pleasant, MI 48859
Tel. (517) 774-3472

SP EASTERN MICHIGAN UNIVERSITY
Speech Pathology Program
Ypsilanti, MI 48197
Tel. (313) 487-4413

**MINNESOTA**

SP UNIVERSITY OF MINNESOTA
at DULUTH
Department of Communicative Disorders
2400 Oakland Avenue
Duluth, MN 55812
Tel. (219) 726-7964

SP/A UNIVERSITY OF MINNESOTA
Department of Communication Disorders
115 Shevlin Hall
164 Pillsbury Drive, SE
Minneapolis, MN 55455
Tel. (612) 624-3322

**MISSISSIPPI**

SP/A UNIV. OF SOUTHERN MISSISSIPPI
Dept. of Speech and Hearing Sciences
Post Office Box 5092, Southern Station
Hattiesburg, MS 39401
Tel. (601) 266-5216

SP/A UNIVERSITY OF MISSISSIPPI
Department of Communicative Disorders
University, MS 38677
Tel. (601) 232-7211

**MISSOURI**

SP SOUTHEAST MISSOURI STATE UNIV.
Department of Speech Communication
and Theatre
Cape Girardeau, MO 63701
Tel. (314) 651-2155

SP UNIV. OF MISSOURI-COLUMBIA
Program in Communicative Disorders
125 Parker Hall
Columbia, MO 65211
Tel. (314) 882-3873

SP NORTHEAST MISSOURI STATE UNIV.
Department of Speech-Pathology-Audiology
Kirksville, MO 63501
Tel. (816) 785-4671

SP ST. LOUIS UNIVERSITY
Department of Communicative Disorders
3733 W. Pine Boulevard
St. Louis, MO 63108
Tel. (314) 658-2939

A CENTRAL INSTITUTE FOR THE DEAF
Washington University
818 South Euclid Avenue
St. Louis, MO 63110
Tel. (314) 652-3200

**MISSOURI (CONTINUED)**

SP/A CENTRAL MISSOURI STATE UNIVERSITY
Speech Pathology and Audiology Dept.
Warrensburg, MO 64093
Tel. (816) 429-4606

**MONTANA**

SP/A UNIVERSITY OF MONTANA
Department of Communication
Sciences and Disorders
Missoula, MT 59812
Tel. (406) 243-4131

**NEBRASKA**

SP KEARNEY STATE COLLEGE
Communication Disorders Programs
Kearney, NE 68847
Tel. (308) 234-8300

SP/A UNIVERSITY OF NEBRASKA-LINCOLN
Dept. of Speech Pathology and Audiology
202 Barkley Memorial Center
Lincoln, NE 68583-0731
Tel. (402) 472-5496

SP UNIVERSITY OF NEBRASKA
at OMAHA
Speech and Language Pathology
Dept. of Counseling and Special Education
Omaha, NE 68182-0167
Tel. (402) 554-2201

**NEW JERSEY**

SP WILLIAM PATERSON COLLEGE
Department of Communication Disorders
300 Pompton Road
Wayne, NJ 07470
Tel. (201) 595-2208

**NEW MEXICO**

SP/A UNIVERSITY OF NEW MEXICO
Department of Communicative Disorders
901 Vassar, NE
Albuquerque, NM 87131
Tel. (505) 277-2918

**NEW YORK**

SP HERBERT H LEHMAN COLLEGE
CUNY
Speech and Hearing Center
Department of Speech and Theatre
Bedford Park Boulevard West
Bronx, NY 10468
Tel. (212) 960-8138

SP STATE UNIVERSITY COLLEGE
at BUFFALO
Communication Disorders Program
1300 Elmwood Avenue
Buffalo, NY 14222
Tel. (716) 878-5719

SP/A BROOKLYN COLLEGE, CUNY
Speech and Hearing Center
Brooklyn, NY 11210
Tel. (718) 780-5186

SP/A STATE UNIVERSITY OF NEW YORK
at BUFFALO
Department of Communicative Disorders
and Sciences
4226 Ridge Lea Campus
Amherst, NY 14226
Tel. (716) 636-3400

SP/A QUEENS COLLEGE OF CUNY
Communication Sciences and Disorders
65-30 Kissena Boulevard
Flushing, NY 11367
Tel. (718) 670-4222

SP ADELPHI UNIVERSITY
Department of Speech Arts
and Communicative Disorders
South Avenue
Garden City, NY 11530
Tel. (516) 294-8700

**NEW YORK (CONTINUED)**

SP/A SUNY COLLEGE OF ARTS AND SCIENCE
Department of Speech Pathology and Audiology
218 Sturges Hall
Geneseo, NY 14454
Tel. (716) 245-5328

SP/A HOFSTRA UNIVERSITY
Department of Speech Arts and Sciences
Room 108 Roosevelt Hall
Hempstead, NY 11550
Tel. (516) 560-5508

SP/A ITHACA COLLEGE
Department of Speech Pathology
and Audiology
Ithaca, NY 14850
Tel. (607) 274-3248

SP/A HUNTER COLLEGE OF CUNY
Communication Sciences Program
425 E. 25th Street
New York, NY 10010
Tel. (212) 481-4464

SP NEW YORK UNIVERSITY
Speech Pathology and Audiology
Department of Communication Arts
and Sciences
50 W. 4th Street
New York, NY 10003
Tel. (212) 598-2921

SP/A TEACHERS COLLEGE
COLUMBIA UNIVERSITY
Department of Speech
and Language Pathology
and Audiology
525 W. 120th Street
New York, NY 10027
Tel. (212) 598-2921

SP/A SYRACUSE UNIVERSITY
Communicative Disorders Program
805 S. Crouse Avenue
Syracuse, NY 13210
Tel. (315) 423-4121

**NORTH CAROLINA**

SP/A EAST CAROLINA UNIVERSITY
Department of Speech-Language
and Auditory Pathology
Greenville, NC 27834
Tel. (919) 757-6961

**NORTH DAKOTA**

SP UNIVERSITY OF NORTH DAKOTA
Department of Communication Disorders
Post Office Box 8040, University Station
Grand Forks, MD 58202-8040
Tel. (701) 777-3232

SP STATE UNIV. OF NORTH DAKOTA
at MINOT
Speech-Language Pathology Department
Minot, MD 58701
Tel. (701) 857-3030

**OHIO**

SP/A UNIVERSITY OF AKRON
Department of Communicative Disorders
302 E. Buchtel Avenue
Akron, OH 44325
Tel. (216) 375-7883

SP/A OHIO UNIVERSITY
School of Hearing and Speech Sciences
Lindley Hall
Athens, OH 45701
Tel. (614) 594-6168

SP/A BOWLING GREEN STATE UNIV.
Programs in Communication Disorders
Bowling Green, OH 43403
Tel. (419) 372-2518

**OHIO (CONTINUED)**

SP/A UNIVERSITY OF CINCINNATI
Communication Disorders
Mail Station 379
Cincinnati OH 45221
Tel. (513) 475-3185

SP/A CASE WESTERN RESERVE UNIVERSITY
Department of Communication Sciences
10900 Euclid Avenue
Cleveland, OH 44106
Tel. (216) 368-2470

SP/A CLEVELAND STATE UNIVESITY
Department of Speech and Hearing
Euclid Avenue and E. 22nd Street
Cleveland, OH 44115
Tel. (216) 687-3804

SP/A OHIO STATE UNIVERSITY
Speech and Hearing Science
154 N. Oval Mall
Columbus, OH 43210
Tel. (614) 422-8207

SP/A KENT STATE UNIVERSITY
School of Speech Pathology and Audiology
A104 Music and Speech Center
Kent, OH 44242
Tel. (216) 672-2672

SP MIAMI UNIVERSITY
Speech Pathology and Audiology
Oxford, OH 45056
Tel. (513) 529-2326

**OKLAHOMA**

SP/A UNIVERSITY OF OKLAHOMA
HEALTH SCIENCES CENTER
Department of Communication Disorders
825 NE 14th
Oklahoma City, OK 73190
Tel. (405) 271-4214

SP OKLAHOMA STATE UNIVERSITY
Speech and Hearing Clinic
120 Hanner Hall
Stillwater, OK 74078
Tel. (405) 624-6020

**OREGON**

SP/A PORTLAND STATE UNIVERSITY
Speech and Hearing Sciences Program
Box 751
Portland, OR 97201
Tel. (503) 229-3533

**PENNSYLVANIA**

SP/A TEMPLE UNIVERSITY
Speech and Hearing Science Division
Department of Speech
Philadelphia, PA 19122
Tel. (215) 787-8537

SP/A UNIVERSITY OF PITTSBURGH
Division of Speech Pathology
and Audiology
Cathedral of Learning
5th Avenue & Bigelow Boulevard
Pittsburgh, PA 15260
Tel. (412) 624-6765

SP/A PENNSYLVANIA STATE UNIVERSITY
Communication Disorders Program
216 Moore Building
Unversity Park, PA 16802
Tel. (814) 863-2009

**PUERTO RICO**

SP UNIVERSITY OF PUERTO RICO
Department of Communicology Disorders
Medical Sciences Campus
GPO 5067
San Juan, PR 00936
Tel. (809) 767-9626

## RHODE ISLAND

SP/A UNIVERSITY OF RHODE ISLAND
Department of Communicative Disorders
Kingston, RI 02881
Tel. (401) 792-4742

## SOUTH CAROLINA

SP/A UNIVERSITY OF SOUTH CAROLINA
Department of Communicative Disorders
Columbia, SC 29208
Tel. (803) 777-4813

## SOUTH DAKOTA

SP UNIVERSITY OF SOUTH DAKOTA
Communication Disorders Program
Department of Communication
Vermillion, SD 57069
Tel. (605) 677-5474

## TENNESSEE

SP/A THE UNIVERSITY OF TENNESSEE
Department of Audiology
and Speech Pathology
457 S. Stadium Hall
Knoxville, TN 37996-0740
Tel. (615) 974-5019

SP/A MEMPHIS STATE UNIVERSITY
Department of Audiology
and Speech Pathology
807 Jefferson Avenue
Memphis, TN 38105
Tel. (901) 525-2682

SP TENNESSEE STATE UNIVERSITY
Department of Speech Pathology
and Audiology
3500 John Merritt Boulevard
Nashville, TN 37203
Tel. (615) 320-3229

SP/A VANDERBILT UNIVERSITY
Division of Hearing
and Speech Sciences
1114 19th Avenue, South
Nashville, TN 37203
Tel. (615) 320-5353

## TEXAS

SP/A UNIVERSITY OF TEXAS AT AUSTIN
Program in Communication Diorders
Austin, TX 78712
Tel. (212) 471-4119

SP LAMAR UNIVERSITY
Department of Communication
Box 10076-Lamar Station
Beaumont, TX 77710
Tel. (409) 880-8170

SP/A UNIVERSITY OF TEXAS AT DALLAS
Program in Communication Disorders
1966 Inwood
Dallas, TX 75235
Tel. (214) 783-3060

SP/A NORTH TEXAS STATE UNIVERSITY
Division of Communication Disorders
Department of Speech
Denton, TX 76203
Tel. (817) 565-2262

SP UNIVERSITY OF HOUSTON
Program in Communication Disorders
Room 129 NOA
Houston, TX 77004
Tel. (713) 749-4553

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Department of Communicative Disorders
Logan, UT 84322-1000
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Communication Sciences and Disorders
136 John Taylor Building
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Tel. (801) 378-5056

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Department of Communication
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Salt Lake City, UT 84112
Tel. (801) 581-6725

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Department of Communication Sciences
and Disorders
Allen House
Burlington, VT 04505
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Speech Language Hearing Center
132 Emmet Street
P.O. Box 9022
Charlottesville, VA 22906
Tel. (804) 924-7107

SP HAMPTON UNIVERSITY
Department of Communication Disorders
Hampton, VA 23668
Tel. (804) 727-5435

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Department of Speech Pathology
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Harrisonburg, VA 22807
Tel. (703) 568-6630

**WASHINGTON**

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Department of Speech Pathology
and Audiology
Bellingham, WA 98225
Tel. (206) 676-3881

SP WASHINGTON STATE UNIVERSITY
Communication Disorders Program
Department of Speech, 218 Daggy Hall
Pullman, WA 99183
Tel. (509) 335-8368

SP/A UNIVERSITY OF WASHINGTON
Department of Speech and Hearing Sciences
Seattle, WA 98195
Tel. (206) 543-7974

**WEST VIRGINIA**

SP/A WEST VIRGINIA UNIVERSITY
Department of Speech Pathology
and Audiology
Post Office Box 6122
Morgantown, WV 26506

**WISCONSIN**

SP UNIVERSITY OF WISCONSIN-EAU CLAIRE
Department of Communication Disorders
Eau Claire, WI 54701
Tel. (715) 836-4185

SP/A UNIVERSITY OF WISCONSIN-MADISON
Department of Communicative Disorders
1975 Willow Drive
Madison, WI 53706
Tel. (608) 262-6461

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Communicative Disorders
619 N. 16th Street
Milwaukee, WI 53233
Tel. (414) 224-3428

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Department of Speech Pathology
and Audiology
Post Office Box 413
Milwaukee, WI 53201
Tel. (414) 963-5076

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Program in Communicative Disorders
Whitewater, WI 53190
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**WYOMING**

SP/A UNIVERSITY OF WYOMING
Department of Speech Pathology
and Audiology
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